

# Twisted Hopf Algebras, Ringel–Hall Algebras, and Green’s Categories<sup>1</sup>

Libin Li and Pu Zhang

metadata, citation and similar papers at [core.ac.uk](http://core.ac.uk)

*Laboratory of Quantum Communication and Quantum Computation, and  
Department of Mathematics, University of Science and Technology of China,  
Hefei 230026, People’s Republic of China  
E-mail: libinli@mail.ustc.edu.cn, pzhang@ustc.edu.cn*

*Communicated by Susan Montgomery*

Received September 22, 1999

The concept and some basic properties of a twisted Hopf algebra are introduced and investigated. Its unique difference from a Hopf algebra is that the comultiplication  $\delta: A \rightarrow A \otimes A$  is an algebra homomorphism, not for the componentwise multiplication on  $A \otimes A$ , but for the twisted multiplication on  $A \otimes A$  given by Lusztig’s rule.

Also, it is proved that any object  $A$  in Green’s category has a twisted Hopf algebra structure, any morphism between objects is a twisted Hopf algebra homomorphism, the antipode  $s$  of  $A$  is self-adjoint under the Lusztig form  $(\frac{-}{-}, -)$  on  $A$ , and the Green polynomials  $M_{a,b}(t)$  share a so-called cyclic-symmetry.

As examples, the twisted Ringel–Hall algebras, Ringel’s twisted composition algebras, Lusztig’s free algebras  ${}^{\prime}\mathbf{F}$  and non-degenerate algebras  $\mathbf{F}$ , the positive part  $U^{+}$  of the Drinfeld–Jimbo quantized enveloping algebras  $U$ , and Rosso’s quantum shuffle algebra  $T(V)$  all are twisted Hopf algebras. The antipode and its inverse for a twisted Ringel–Hall are explicitly given, and all  $\delta$ -primitive elements are determined. © 2000 Academic Press

<sup>1</sup> This work is supported in part by the Chinese National Natural Science Foundation and the Young Teacher Projects from the Chinese Education Ministry. The paper was finally written while the second author visited Universität Bielfeld, supported by a grant from Volkswagen-Stiftung.

## 1. INTRODUCTION

Some basic properties for Ringel's composition algebras (see [R3]) and Lusztig's algebras  $'\mathbf{F}$  and  $\mathbf{F}$  associated with any datum  $(I, \cdot)$  over any field  $K$  (see [L]) have been summarized by Green as axioms in the category  $\mathcal{L}(K, c, I, \cdot)$  (see [G1, G2]): roughly speaking, an object  $A$  belonging to  $\mathcal{L}(K, c, I, \cdot)$  has an  $\mathbb{N}_0 I$ -graded  $K$ -algebra structure with generators indexed by  $I$ ; a coassociative comultiplication  $\delta$  such that the generators are  $\delta$ -primitive elements and that  $\delta: A \rightarrow A \otimes A$  is an algebra homomorphism, where the algebra structure on  $A \otimes A$  is given by Lusztig's rule; and a symmetric bilinear form  $(-, -)$  on  $A$  with some special properties. Some interesting and important properties for all algebras in  $\mathcal{L}(K, c, I, \cdot)$  have been derived in [G1, G2], for example, the existence of the polynomials  $M_{a,b}(t)!$  With these properties, Green has proved the famous algebra isomorphism  $\mathcal{C}(\Delta, d) \cong U^+$  (see [G1, G2, R3, R4, R5, R6]) via Lusztig's isomorphism  $\mathbf{f} \cong U^+$  (see [L]), where  $\mathcal{C}(\Delta, d)$  and  $U^+$  are, respectively, the twisted generic composition algebra and the positive part of the Drinfeld–Jimbo quantized enveloping algebra  $U$ , both of type  $(\Delta, d)$ , where  $\Delta$  is an arbitrary symmetrizable generalized Cartan matrix with symmetrization  $d$ , in Kac's sense [K].

Of course, one can define a counit  $\varepsilon$  of  $A \in \mathcal{L}(K, c, I, \cdot)$  to be the projection onto  $A_0 = K$ . In this way,  $A$  becomes a  $\chi$ -bialgebra in Ringel's sense [R6]. However, it seems to be of interest that  $A$  has an antipode  $s$  compatible with the bilinear form  $(-, -)$  on  $A$ . This is one of the main motivations of this paper.

On the other hand, since a strong feature of a quantum group is its Hopf algebra structure, and since all existing Hopf operations are not closed inside  $U^+$  (see, e.g., [D, Jan, Jim, Jos, L]), we naturally hope that inside  $U^+$  there is a “nearly” Hopf algebra structure. A twisted Hopf algebra structure as defined in 2.8 seems to be a natural candidate. The unique difference between a twisted Hopf algebra and Hopf algebra is that the comultiplication  $\delta: A \rightarrow A \otimes A$  is an algebra homomorphism, not for the componentwise multiplication on  $A \otimes A$ , but for the twisted multiplication on  $A \otimes A$  given by Lusztig's rule. This idea of a twisted multiplication should not be considered a disadvantage. On the contrary, it can be used for any algebra and any coalgebra, as Lusztig [L] and Ringel [R4, R6] did, and a twisted Hopf algebra shares some basic properties of a Hopf algebra, which is worthy to be studied.

For any field  $K$ , a non-zero element  $c$  in  $K$ , and any datum  $(I, \chi = \cdot)$ , the concept of a  $\chi$ -Hopf algebra is introduced in Section 2. It turns out that a  $\chi$ -Hopf algebra is exactly a  $\chi$ -bialgebra in Ringel's sense and that the antipode  $s$  of a  $\chi$ -Hopf algebra  $A$  gives a graded algebra anti-isomorphism  $s: A \rightarrow A_{\chi^r}$  and a graded coalgebra anti-isomorphism  $s: A_{\chi} \rightarrow A$ ,

where the algebra and coalgebra structure on  $A_\chi$  are given by twisted rules; see Theorem 2.10. Moreover, any  $\chi$ -Hopf algebra can be embedded in a Hopf algebra; see Theorem A in the Appendix by the referee.

Now, any object  $A$  in Green's category  $\mathcal{Z}(K, c, I, \cdot)$  has a  $\chi$ -Hopf algebra structure. The antipode  $s$  of  $A$  turns out to be self-adjoint under the bilinear form  $(-, -)$  on  $A$ , and a morphism between two objects turns out to be a  $\chi$ -Hopf algebra homomorphism, and all  $\delta$ -primitive elements are determined; see Theorem 4.4. By this self-adjointness of  $s$  under the bilinear form  $(-, -)$  on  $A$ , it is proved that the Green polynomials  $M_{a,b}(t)$  share a so-called cyclic-symmetry; see Theorem 4.7.

Since a  $\chi$ -Hopf algebra is just a  $\chi$ -bialgebra, it follows that there are a lot of examples available: the twisted Ringel–Hall algebras, Ringel's twisted composition algebras, Lusztig's free algebras  $\mathbf{F}$  and non-degenerate algebras  $\mathbf{F}$  associated with any datum over any field  $K$ , and the positive part  $U^+$  of the Drinfeld–Jimbo quantized enveloping algebras  $U$ .

Given any symmetrizable generalized Cartan matrix  $\Delta$  with symmetrization  $d$  or, equivalently, a Cartan datum  $(I, \cdot)$  in Lusztig's sense [L], there is a finite-dimensional hereditary algebra  $\Lambda$  of type  $(I, \cdot)$ , over any finite field  $k$ , with  $v_k = |k|^{1/2}$ . Consider the twisted Ringel–Hall algebra  $\mathcal{H}(\Lambda)$  and Ringel's (twisted) composition algebra  $\mathcal{C}(\Lambda)$  as  $\chi$ -Hopf algebras; here  $\chi = \cdot$  is just the symmetrization of the Ringel form. The explicit expressions of the antipode  $s$  and its inverse of  $\mathcal{H}(\Lambda)$  and  $\mathcal{C}(\Lambda)$  can be easily derived, by using Theorem A in the Appendix given by the referee and the antipode of the “extended” (twisted) Ringel–Hall algebra given by Xiao [X]; see Lemma 3.3. Then it is proved that  $s$  of  $\mathcal{H}(\Lambda)$  is self-adjoint under the bilinear form  $(-, -)$  on  $\mathcal{H}(\Lambda)$ ; see Theorem 3.5.

By using an observation of Sevenhant and Van den Bergh, all  $\delta$ -primitive elements of  $\mathcal{H}(\Lambda)$  are determined, and then a sufficient and necessary condition for  $\mathcal{C}(\Lambda) = \mathcal{H}(\Lambda)$  is given; see Theorem 3.7.

Finally, we include some direct calculations in  $\mathcal{H}(\Lambda)$  in Section 5.

## 2. TWISTED COALGEBRAS AND $\chi$ -HOPF ALGEBRAS

2.1. Throughout this section, let  $K$  be a field, let  $c$  be a non-zero element in  $K$ , and let  $I$  be a set. Write  $\otimes$  for  $\otimes_K$ . Denote by  $\mathbb{Z}I$  the free abelian group with  $I$  as basis. An element in  $\mathbb{Z}I$  is written as  $x = (x_i)_{i \in I}$  with  $x_i \in \mathbb{Z}$ , where  $x_i = 0$  for almost all  $i \in I$ . Denote by  $\mathbb{N}_0 I$  the subset  $\{x = (x_i)_{i \in I} \in \mathbb{Z}I \mid x_i \in \mathbb{N}_0\}$ .

An  $\mathbb{N}_0 I$ -graded algebra  $A$  means an associative  $K$ -algebra with a direct decomposition of  $K$ -spaces  $A = \bigoplus_{x \in \mathbb{N}_0 I} A_x$  with  $A_0 = K$ , such that  $A_x A_y \subseteq A_{x+y}$ .

In the following, if  $a \in A_x$ , then  $x$  is called the degree of  $a$  and is denoted by  $|a| = x$ .

By definition [R6, p. 206], an  $\mathbb{N}_0$ -graded  $K$ -coalgebra  $A = (A, \delta, \varepsilon)$  is a graded  $K$ -space  $A = \bigoplus_{x \in \mathbb{N}_0} A_x$  with  $A_0 = K$ , and with  $K$ -linear maps  $\delta: A \rightarrow A \otimes A$  and  $\varepsilon: A \rightarrow K$  satisfying the following conditions:

- (i)  $\delta$  is a coassociative comultiplication, i.e.,  $(\text{id} \otimes \delta)\delta = (\delta \otimes \text{id})\delta$ ;
- (ii)  $\varepsilon$  is the projection onto  $A_0 = K$ , i.e.,  $\varepsilon(A_x) = 0$  for  $x \neq 0$  and  $\varepsilon(1) = 1$ ;
- (iii)  $\varepsilon$  is a counit, i.e.,  $(\text{id} \otimes \varepsilon)\delta = \text{id} = (\varepsilon \otimes \text{id})\delta$ ;
- (iv)  $\delta$  respects the grading, i.e.,  $\delta(A_z) \subseteq \bigoplus_{x+y=z} A_x \otimes A_y$ .

LEMMA 2.2. *Let  $A$  be an  $\mathbb{N}_0$ -graded  $K$ -coalgebra. Then*

- (i)  $\delta(1) = 1 \otimes 1$ .
- (ii) *For  $a \in A_d$  with  $d \neq 0$  we have*

$$\delta(a) = a \otimes 1 + 1 \otimes a + \sum_{x+y=d; x, y \neq 0} a_x \otimes a'_y,$$

where  $a_x \in A_x$ ,  $a'_y \in A_y$ .

*In particular, we have  $\delta(a) = a \otimes 1 + 1 \otimes a$  for  $a \in A_i$ ,  $i \in I$ .*

*Proof.* Since  $\delta$  respects the grading, it follows that  $\delta(1) = 1 \otimes a'$  with  $a' \in A_0 = K$ . Then  $1 = (\text{id} \otimes \varepsilon)\delta(1) = (\text{id} \otimes \varepsilon)(1 \otimes a') = a'$ . For  $a \in A_d$  with  $d \neq 0$ , since  $\delta$  respects the grading, it follows that we can write

$$\delta(a) = 1 \otimes a' + a'' \otimes 1 + \sum_{x+y=d; x, y \neq 0} a_x \otimes a'_y,$$

where  $a', a'' \in A_d$ ,  $a_x \in A_x$ , and  $a'_y \in A_y$ . Since  $\varepsilon(A_x) = 0$  for  $x \neq 0$ , it follows that  $a = (\text{id} \otimes \varepsilon)\delta(a) = a''$  and  $a = (\varepsilon \otimes \text{id})\delta(a) = a'$ . ■

2.3. Let  $\chi: \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$  be a (not necessarily symmetric) bilinear form. Define a new bilinear form  $\chi^T: \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$  by

$$\chi^T(x, y) = \chi(y, x).$$

Let  $A$  be an  $\mathbb{N}_0$ -graded algebra. Ringel [R4] has introduced a new multiplication  $*$  on  $A$ : for  $a \in A_x$ ,  $b \in A_y$ , define

$$a * b = c^{\chi(|a|, |b|)} ab. \quad (2.1)$$

Then there is a unique  $\mathbb{N}_0$ -graded, associative  $K$ -algebra structure on  $A$  with multiplication  $*$ . Following Ringel, denote this new algebra by  $A_\chi$ , and denote the new multiplication map by  $m_\chi$ , if the original multiplication map of  $A$  is denoted by  $m$ .

Dually, let  $A = (A, \delta, \varepsilon)$  be an  $\mathbb{N}_0 I$ -graded coalgebra. Consider a new  $K$ -linear comultiplication  $\delta_\chi$  on  $A$  defined by

$$\delta_\chi(a) = \sum c^{\chi(|a_1|, |a_2|)}(a_1 \otimes a_2) \quad (2.2)$$

for all  $a \in A$ , where  $\delta(a) = \sum a_1 \otimes a_2$  is Sweedler's notation with all factors  $a_1, a_2$  homogeneous. Note that this construction of  $\delta_\chi$  has been introduced by Lusztig for 'f and f in [L, p. 6].

LEMMA 2.4. *Let  $A$  be an  $\mathbb{N}_0 I$ -graded coalgebra. Then  $(A, \delta_\chi, \varepsilon)$  is again an  $\mathbb{N}_0 I$ -graded coalgebra, which is denoted by  $A_\chi$ .*

*Proof.* Note that  $\delta_\chi$  respects the grading, since  $\delta$  does so. Since  $\varepsilon$  is the projection onto  $K$  and  $\varepsilon$  is the counit of  $A$ , it is easy to see that  $\varepsilon$  is the counit of  $A_\chi$ .

It remains to check the coassociativity of  $\delta_\chi$ . For  $a \in A$ , by the coassociativity of  $\delta$  we can write

$$(\text{id} \otimes \delta)\delta(a) = (\delta \otimes \text{id})\delta(a) = \sum a_1 \otimes a_2 \otimes a_3,$$

with all factors being homogeneous. It follows that we can write

$$(\text{id} \otimes \delta_\chi)\delta_\chi(a) = \sum c_{a_1, a_2, a_3} a_1 \otimes a_2 \otimes a_3,$$

$$(\delta_\chi \otimes \text{id})\delta_\chi(a) = \sum c'_{a_1, a_2, a_3} a_1 \otimes a_2 \otimes a_3,$$

where

$$\begin{aligned} c_{a_1, a_2, a_3} &= c^{\chi(|a_1|, |a_2| + |a_3|)} c^{\chi(|a_2|, |a_3|)} \\ &= c^{\chi(|a_1|, |a_2|) + \chi(|a_1|, |a_3|) + \chi(|a_2|, |a_3|)} \\ &= c^{\chi(|a_1| + |a_2|, |a_3|)} c^{\chi(|a_1|, |a_2|)} \\ &= c'_{a_1, a_2, a_3}, \end{aligned}$$

where we have used that  $\delta_\chi(A_z) \subseteq \bigoplus_{x+y=z} A_x \otimes A_y$ . This completes the proof. ■

LEMMA 2.5. *Let  $A = (A, \delta, \varepsilon)$  be an  $\mathbb{N}_0 I$ -graded  $K$ -coalgebra, and let  $\chi: \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$  be a bilinear form. Then  ${}_\chi A = (A, {}_\chi \delta, \varepsilon)$  is again an  $\mathbb{N}_0 I$ -graded  $K$ -coalgebra, where the  $K$ -linear map  ${}_\chi \delta: A \rightarrow A \otimes A$  is defined by*

$${}_\chi \delta(a) = \sum c^{-\chi(|a_1|, |a_2|)}(a_2 \otimes a_1) \quad (2.3)$$

for  $a \in A$ , where  $\delta(a) = \sum a_1 \otimes a_2$  with all factors homogeneous.

*Proof.* Note that  ${}_\chi \delta$  is exactly  $T\delta_{-\chi}$ , where  $T$  is the twisted map [S, p. 49]

$$T: A \otimes A \rightarrow A \otimes A, \quad a \otimes b \mapsto b \otimes a.$$

Thus, the assertion follows from Lemma 2.4 and the fact that if  $(A, \delta, \varepsilon)$  is an  $\mathbb{N}_0 I$ -graded coalgebra, then so is  $(A, T\delta, \varepsilon)$ . ■

2.6. For a bilinear form  $\chi: \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$ , consider the corresponding bilinear form  $(\mathbb{Z}I)^2 \times (\mathbb{Z}I)^2 \rightarrow \mathbb{Z}$ , again denoted by  $\chi$ , which is defined by

$$\chi(x_1, x_2, y_1, y_2) = \chi(x_2, y_1) \quad (2.4)$$

for  $x_1, x_2, y_1, y_2 \in \mathbb{Z}I$ .

Let  $A$  be an  $\mathbb{N}_0 I$ -graded algebra. Then  $A \otimes A$  is an  $(\mathbb{N}_0 I)^2$ -graded algebra with componentwise multiplication, where  $(A \otimes A)_{(x,y)} = A_x \otimes A_y$  for  $x, y \in \mathbb{N}_0 I$ . Note that  $(\mathbb{Z}I)^2 = \mathbb{Z}I'$ , and  $(\mathbb{N}_0 I)^2 = \mathbb{N}_0 I'$ , where  $I'$  is a set with  $|I'| = 2|I|$ . Thus, by applying 2.3 to the tensor algebra  $A \otimes A$ , we obtain the algebra  $(A \otimes A)_\chi$ . Thus,  $(A \otimes A)_\chi$  is an  $(\mathbb{N}_0 I)^2$ -graded, associative algebra with multiplication  $*$  given by Lusztig's rule [L, p. 3],

$$(a_1 \otimes a_2) * (b_1 \otimes b_2) = c^{\chi(|a_2|, |b_1|)}(a_1 b_1 \otimes a_2 b_2) \quad (2.5)$$

for homogeneous elements  $a_1, a_2, b_1, b_2 \in A$ . Note that here we do not assume that  $\chi$  is symmetric.

Dually, let  $A = (A, \delta, \varepsilon)$  be an  $\mathbb{N}_0 I$ -graded coalgebra. Then  $A \otimes A$  is an  $(\mathbb{N}_0 I)^2$ -graded coalgebra with comultiplication  $(\text{id} \otimes T \otimes \text{id})(\delta \otimes \delta)$ , again denoted by  $\delta \otimes \delta$  if no confusion is caused, where  $T$  is the twisted map. Thus, if  $\delta(a) = \sum a_1 \otimes a_2$  and  $\delta(b) = \sum b_1 \otimes b_2$ , then

$$(\delta \otimes \delta)(a \otimes b) = \sum a_1 \otimes b_1 \otimes a_2 \otimes b_2.$$

Now applying Lemma 2.4 we obtain the  $(\mathbb{N}_0 I)^2$ -graded coalgebra  $(A \otimes A)_\chi = (A \otimes A, (\delta \otimes \delta)_\chi, \varepsilon \otimes \varepsilon)$  with comultiplication

$$(\delta \otimes \delta)_\chi(a \otimes b) = \sum c^{\chi(|b_1|, |a_2|)}(a_1 \otimes b_1 \otimes a_2 \otimes b_2), \quad (2.6)$$

where  $\delta(a) = \sum a_1 \otimes a_2$  and  $\delta(b) = \sum b_1 \otimes b_2$  such that all  $a_1, a_2, b_1, b_2$  are homogeneous.

LEMMA 2.7. *Let  $\chi$  be a bilinear form:  $\mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$ . Let  $A = (A, m, e)$  be an  $\mathbb{N}_0 I$ -graded algebra and let  $A = (A, \delta, \varepsilon)$  be an  $\mathbb{N}_0 I$ -graded coalgebra. Then*

(i)  $\varepsilon: A \rightarrow K$  is an algebra homomorphism.

(ii)  $e: K \rightarrow A$  is a coalgebra homomorphism.

(iii)  $\delta: A \rightarrow (A \otimes A)_\chi$  is an algebra homomorphism if and only if  $m: (A \otimes A)_{\chi^T} \rightarrow A$  is a coalgebra homomorphism, where the algebra on  $(A \otimes A)_\chi$  and the coalgebra structure on  $(A \otimes A)_{\chi^T}$  are defined by (2.5) and (2.6), respectively.

*Proof.* (i) is clear, since by definition  $\varepsilon$  is the projection onto  $K = A_0$ . By Lemma 2.2 we have  $\delta(1) = 1 \otimes 1$ ; from this (ii) follows.

Since  $\varepsilon(A_x) = 0$  for  $x \neq 0$  and  $\varepsilon(1) = 1$ , it follows that  $\varepsilon m = \varepsilon \otimes \varepsilon$ . For any  $a, b \in A$ , let  $\delta(a) = \sum a_1 \otimes a_2$ ,  $\delta(b) = \sum b_1 \otimes b_2$ , with all factors homogeneous. Now,  $\delta: A \rightarrow (A \otimes A)_\chi$  is an algebra homomorphism if and only if  $\delta(ab) = \delta(a) * \delta(b)$ , and if and only if

$$\begin{aligned}\delta(ab) &= \sum c^{\chi(|a_2|, |b_1|)} a_1 b_1 \otimes a_2 b_2 \\ &= \sum c^{\chi^T(|b_1|, |a_2|)} a_1 b_1 \otimes a_2 b_2 \\ &= (m \otimes m)(\delta \otimes \delta)_{\chi^T}(a \otimes b),\end{aligned}$$

which is exactly the claim that  $m: (A \otimes A)_{\chi^T} \rightarrow A$  is a coalgebra homomorphism. ■

Now we introduce the notation of a twisted Hopf algebra.

**DEFINITION 2.8** [R6, p. 207]. Let  $K, c, I$  be as in 2.1 and let  $\chi$  be an arbitrary bilinear form:  $\mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$ .

(1) A  $K$ -module  $A$  is called a  $(K, c, I, \chi)$ -bialgebra, or simply, a  $\chi$ -bialgebra, provided that the following two conditions are satisfied:

**R1.**  $A = \bigoplus_{x \in \mathbb{N}_0 I} A_x$  is an  $\mathbb{N}_0 I$ -graded, both  $K$ -algebra and  $K$ -coalgebra in the sense of 2.1.

Denote the multiplication map of  $A$  by  $m$ , the unit map by  $e$ , the comultiplication of  $A$  by  $\delta$ , and the counit by  $\varepsilon$ .

**R2.**  $\delta: A \rightarrow (A \otimes A)_\chi$  is an algebra homomorphism

(2) A  $(K, c, I, \chi)$ -bialgebra  $A = (A, m, e, \delta, \varepsilon)$  is called a  $(K, c, I, \chi)$ -Hopf algebra or, simply, a  $\chi$ -Hopf algebra, provided that the following condition is satisfied:

**R3.** There is a  $K$ -linear map  $s: A \rightarrow A$  such that

$$m(\text{id} \otimes s)\delta = e\varepsilon = m(s \otimes \text{id})\delta. \quad (2.7)$$

The map  $s$  is called an antipode of  $A$ .

2.9. The notion of a  $\chi$ -bialgebra has been introduced by Ringel in [R6], in a more general situation than 2.8. Notice that saying  $A = (A, m, e, \delta, \varepsilon)$  is a  $(K, c, I, \chi)$ -bialgebra is equivalent to saying it is a  $(K, c^{-1}, I, -\chi)$ -bialgebra.

Let  $A = (A, m, e)$ , and let  $C = (C, \delta, \varepsilon)$  be a  $K$ -algebra and a  $K$ -coalgebra, respectively. Recall that the convolution product  $\star$  in  $\text{Hom}_K(C, A)$  is defined by

$$(f \star g)(x) = m(f \otimes g)\delta(x)$$

for  $f, g \in \text{Hom}_K(C, A)$ ,  $x \in C$ . Then  $\text{Hom}_K(C, A)$  becomes an associative  $K$ -algebra with unit  $e\varepsilon$ , with the multiplication  $\star$ ; see [S, p. 69].

Thus, for a  $\chi$ -bialgebra  $(A, m, e, \delta, \varepsilon)$ , the condition **R3** is equivalent to the following:

**R3'.** There is a  $K$ -linear map  $s: A \rightarrow A$  such that in the convolution algebra  $\text{Hom}_K(A, A)$  there holds

$$s \star \text{id} = \text{id} \star s = e\varepsilon. \quad (2.8)$$

Thus, a  $\chi$ -Hopf algebra has a unique antipode.

The main result of this section is as follows.

**THEOREM 2.10.** *Let  $A = (A, m, e, \delta, \varepsilon)$  be a  $(K, c, I, \chi)$ -bialgebra. Then we have*

(i) *There is a unique  $\chi$ -Hopf algebra structure on  $A$ , with antipode denoted by  $s$ .*

(ii)  *$s: A \rightarrow A$  is an  $\mathbb{N}_0 I$ -graded map.*

(iii)  *$s: A \rightarrow A_{\chi^T}$  is an algebra anti-homomorphism.*

(iv)  *$s: A_{\chi} \rightarrow A$  is a coalgebra anti-homomorphism.*

(v)  *${}_{\chi^T}A = (A, m, e, {}_{\chi^T}\delta, \varepsilon)$  is a  $(K, c, I, -\chi^T)$ -Hopf algebra with antipode  $s^{-1}$ . In particular,  $s$  is invertible.*

*Proof.* (i) This has been proved by Zelevinsky in different terminology; see [Z, p. 152, Proposition A1.6]. We include here a more direct proof.

Let  $A = \bigoplus_{x \in \mathbb{N}_0 I} A_x$ . Define a  $K$ -linear map  $s_r: A \rightarrow A$  inductively: define  $s_r(1) = 1$ ; for  $a \in A_d$  with  $d \neq 0$ , by Lemma 2.2 we have

$$\delta(a) = a \otimes 1 + 1 \otimes a + \sum_{x+y=d; x, y \neq 0} a_x \otimes a'_y,$$

where  $a_x \in A_x$ ,  $a'_y \in A_y$ . Since  $x, y < d$  (the partial order of  $\mathbb{N}_0 I$  used here is componentwise), it follows from induction that  $s_r(a'_y)$  has been well defined, and then define

$$s_r(a) = -a - \sum_{x+y=d; x, y \neq 0} a_x s_r(a'_y).$$



In this way, we have  $m(\text{id} \otimes s_r)\delta = e\varepsilon$ , which means that  $s_r$  is a right inverse of  $\text{id}$  in the algebra  $\text{Hom}_K(A, A)$  with multiplication given by convolution  $\star$ . Similarly, one gets a left inverse  $s_l$  of  $\text{id}$ . It follows that  $s_r = s_l = s$  is the inverse of  $\text{id}$ ; i.e.,  $s$  is the antipode of  $A$ .

(ii) By the inductive construction of  $s$  in (i), one sees that  $s$  is an  $\mathbb{N}_0 I$ -graded map.

(iii) Since  $s(1) = 1$ , it remains to prove  $s(ab) = s(b) * s(a)$  for  $a, b \in A$  or, equivalently,  $sm = m_{\chi^T}(s \otimes s)T$ , where  $T$  is the twisted map. Since  $e(\varepsilon \otimes \varepsilon)$  is the unit of the convolution algebra  $\text{Hom}_K((A \otimes A)_{\chi^T}, A)$ , it follows that it suffices to prove that there holds  $(sm) \star m = m \star (m_{\chi^T}(s \otimes s)T) = e(\varepsilon \otimes \varepsilon)$  in the convolution algebra  $\text{Hom}_K((A \otimes A)_{\chi^T}, A)$ .

Let  $a, b \in A$  be homogeneous,  $\delta(a) = \sum a_1 \otimes a_2$ , and  $\delta(b) = \sum b_1 \otimes b_2$  with all factors homogeneous. Then by **R2** and (2.5) we have  $\delta(ab) = \sum c^{\chi(|a_2|, |b_1|)}(a_1 b_1 \otimes a_2 b_2)$ , and by (2.6) there holds the following:

$$\begin{aligned} ((sm) \star m)(a \otimes b) &= m(sm \otimes m)(\delta \otimes \delta)_{\chi^T}(a \otimes b) \\ &= \sum c^{\chi^T(|b_1|, |a_2|)} s(a_1 b_1) a_2 b_2 \\ &= m(s \otimes \text{id}) \delta(ab) \\ &= \varepsilon(ab) = (e(\varepsilon \otimes \varepsilon))(a \otimes b). \end{aligned}$$

On the other hand, we have

$$\begin{aligned} (m \star (m_{\chi^T}(s \otimes s)T))(a \otimes b) &= m(m \otimes m_{\chi^T}(s \otimes s)T)(\delta \otimes \delta)_{\chi^T}(a \otimes b) \\ &= \sum c^{\chi^T(|b_1|, |a_2|)} m(m \otimes m_{\chi^T}(s \otimes s)T)(a_1 \otimes b_1 \otimes a_2 \otimes b_2) \\ &= \sum c^{\chi(|a_2|, |b_1|)} a_1 b_1 m_{\chi^T}(s(b_2) \otimes s(a_2)) \\ &= \sum c^{\chi(|a_2|, |b_1|) + \chi^T(|b_2|, |a_2|)} a_1 b_1 s(b_2) s(a_2) \\ &= \sum c^{\chi(|a_2|, |b|)} a_1 b_1 s(b_2) s(a_2) \\ &= \sum c^{\chi(|a_2|, |b|)} a_1 s(a_2) \varepsilon(b). \end{aligned}$$

It follows that if  $b \notin A_0$ , then  $\varepsilon(b) = 0$ , and hence

$$(m \star (m_{\chi^T}(s \otimes s)T))(a \otimes b) = 0 = (e(\varepsilon \otimes \varepsilon))(a \otimes b),$$

and if  $b \in A_0$ , then  $\chi(|a_2|, |b|) = 0$ , and hence

$$\begin{aligned} (m \star (m_{\chi^r}(s \otimes s)T))(a \otimes b) &= \sum a_1 s(a_2) \varepsilon(b) = m(\text{id} \otimes s) \delta(a) \varepsilon(b) \\ &= \varepsilon(a) \varepsilon(b) = (e(\varepsilon \otimes \varepsilon))(a \otimes b). \end{aligned}$$

This proves (iii).

(iv) Since  $s(A_x) \subseteq A_x$ ,  $s(1) = 1$ ,  $\varepsilon(A_x) = 0$  for  $x \neq 0$ , and  $\varepsilon(1) = 1$ , it follows from (ii) that  $\varepsilon s = \varepsilon$ .

It remains to prove that  $\delta s = T(s \otimes s) \delta_\chi$ . Note that  $(e \otimes e)\varepsilon$  is the map which takes  $a \in A$  to  $\varepsilon(a)(1 \otimes 1) = \varepsilon(a) \cdot 1 = 1 \otimes \varepsilon(a)$ . Since  $(e \otimes e)\varepsilon$  is the unit of the convolution algebra  $\text{Hom}_K(A, (A \otimes A)_\chi)$ , it follows that it suffices to prove  $(\delta s) \star \delta = \delta \star (T(s \otimes s) \delta_\chi) = (e \otimes e)\varepsilon$  in the convolution algebra  $\text{Hom}_K(A, (A \otimes A)_\chi)$ . Let  $a$  be a homogeneous element in  $A$ . Since  $\delta$  is an algebra homomorphism from  $A$  to  $(A \otimes A)_\chi$ , it follows that

$$\begin{aligned} ((\delta s) \star \delta)(a) &= \sum \delta s(a_1) * \delta(a_2) \\ &= \sum \delta(s(a_1) a_2) = \delta m(s \otimes \text{id}) \delta(a) \\ &= \delta \varepsilon(a) = \varepsilon(a)(1 \otimes 1) \\ &= (e \otimes e) \varepsilon(a). \end{aligned}$$

Let  $\delta(a) = \sum a_1 \otimes_2$ ,  $\delta(a_1) = \sum a_{11} \otimes a_{12}$ , and  $\delta(a_2) = \sum a_{21} \otimes a_{22}$  with all factors homogeneous. Then

$$|a_{11}| + |a_{12}| = |a_1|, \quad |a_{21}| + |a_{22}| = |a_2|, \quad |a_1| + |a_2| = |a|,$$

and we have

$$\begin{aligned} (\delta \star (T(s \otimes s) \delta_\chi))(a) &= \sum c^{\chi(|a_{21}|, |a_{22}|)}(a_{11} \otimes a_{12}) * (s(a_{22}) \otimes s(a_{21})) \\ &= \sum c^{\chi(|a_{21}|, |a_{22}|) + \chi(|a_{12}|, |a_{22}|)} a_{11} s(a_{22}) \otimes a_{12} s(a_{21}). \end{aligned}$$

On the other hand, by using the coassociativity of  $\delta$ , we have

$$(\delta \otimes \delta) \delta = (\text{id} \otimes \delta \otimes \text{id})(\text{id} \otimes \delta) \delta,$$

and hence we have

$$\sum a_{11} \otimes a_{12} \otimes a_{21} \otimes a_{22} = \sum a_1 \otimes a_{211} \otimes a_{212} \otimes a_{22}, \quad (2.9)$$

where  $\delta(a_{21}) = \sum a_{211} \otimes a_{212}$  with all factors homogeneous.

Now define a  $K$ -linear map  $L: A \otimes A \otimes A \otimes A \rightarrow A \otimes A \otimes A \otimes A$  by

$$L(a_1 \otimes a_2 \otimes a_3 \otimes a_4) = c^{\chi(|a_2|+|a_3|, |a_4|)}(a_1 \otimes a_2 \otimes a_3 \otimes a_4)$$

for all  $a_1, a_2, a_3, a_4$  homogeneous.

By applying the  $K$ -linear map  $O = (m \otimes \text{id})(\text{id} \otimes T)(\text{id} \otimes m \otimes \text{id})(\text{id} \otimes \text{id} \otimes s \otimes s)L$  to both sides of (2.9), and applying **R3**, we get

$$\begin{aligned} & \sum c^{\chi(|a_{12}|+|a_{21}|, |a_{22}|)} a_{11}s(a_{22}) \otimes a_{12}s(a_{21}) \\ &= \sum c^{\chi(|a_{211}|+|a_{212}|, |a_{22}|)} a_1s(a_{22}) \otimes a_{211}s(a_{212}) \\ &= \sum c^{\chi(|a_{21}|, |a_{22}|)} a_1s(a_{22}) \otimes a_{211}s(a_{212}) \\ &= \sum c^{\chi(|a_2|-|a_{22}|, |a_{22}|)} a_1s(a_{22}) \otimes a_{211}s(a_{212}) \\ &= \sum c^{\chi(|a_2|-|a_{22}|, |a_{22}|)} a_1s(a_{22}) \otimes \varepsilon(a_{21}) \\ &= \sum c^{\chi(|a_2|-|a_{22}|, |a_{22}|)} a_1s(\varepsilon(a_{21})a_{22}) \otimes 1. \end{aligned}$$

We claim that

$$c^{\chi(|a_2|-|a_{22}|, |a_{22}|)} a_1s(\varepsilon(a_{21})a_{22}) \otimes 1 = a_1s(\varepsilon(a_{21})a_{22}) \otimes 1.$$

In fact, if  $|a_{21}| \neq 0$ , then  $\varepsilon(a_{21}) = 0$ , and hence the both sides are 0; if  $|a_{21}| = 0$ , then  $|a_2| - |a_{22}| = 0$ , and hence the claim follows.

In this way, we see that

$$\begin{aligned} & \sum c^{\chi(|a_{12}|+|a_{21}|, |a_{22}|)} a_{11}s(a_{22}) \otimes a_{12}s(a_{21}) \\ &= \sum c^{\chi(|a_2|-|a_{22}|, |a_{22}|)} a_2s(\varepsilon(a_{21})a_{22}) \otimes 1 \\ &= \sum a_1s(\varepsilon(a_{21})a_{22}) \otimes 1 \\ &= \sum a_1s(a_2) \otimes 1 \\ &= \varepsilon(a) \otimes 1 \\ &= (e \otimes e)\varepsilon(a), \end{aligned}$$

where we have used **R3** and the counit property.

Altogether, we have proved that  $(\delta s) \star \delta = \delta \star (T(s \otimes s)\delta_\chi)$ .

(v) By Lemma 2.5,  ${}_{\chi^T}A = (A, {}_{\chi^T}\delta, \varepsilon)$  is a  $K$ -coalgebra. In order to prove that  ${}_{\chi^T}A = (A, m, e, {}_{\chi^T}\delta, \varepsilon)$  is a  $(K, c, I, -\chi^T)$ -bialgebra, it suffices to prove  ${}_{\chi^T}\delta(ab) = {}_{\chi^T}\delta(a) * {}_{\chi^T}\delta(b)$  for all  $a, b \in A$ , where  $*$  denotes the multiplication in  $(A \otimes A)_{-\chi^T}$ . This is straightforward by using the fact that

$\delta: A \rightarrow (A \otimes A)_\chi$  is an algebra homomorphism. It follows from (i) that  ${}_{\chi^T}A = (A, m, e, {}_{\chi^T}\delta, \varepsilon)$  is a  $(K, c, I, -\chi^T)$ -Hopf algebra, say, with antipode  $s'$ .

For  $a \in A_d$ , use induction on  $d$  to prove that  $s's(a) = ss'(a) = a$ . This is clear for  $a \in A_0$ . By Lemma 2.2 we have  $\delta(a) = a \otimes 1 + 1 \otimes a + \sum_{x+y=d; x, y \neq 0} c_x \otimes c'_y$  with  $c_x \in A_x$ ,  $c'_y \in A_y$ . Then by (2.3) we have

$${}_{\chi^T}\delta(a) = a \otimes 1 + 1 \otimes a + \sum_{x+y=d; x, y \neq 0} c^{-\chi(y, x)} c'_y \otimes c_x.$$

By using  $m(\text{id} \otimes s)\delta(a) = e\varepsilon(a) = 0$  and  $m(\text{id} \otimes s'){}_{\chi^T}\delta(a) = e\varepsilon(a) = 0$  we get

$$\begin{aligned} s(a) &= -a - \sum_{x+y=d; x, y \neq 0} c_x s(c'_y), \\ s'(a) &= -a - \sum_{x+y=d; x, y \neq 0} c^{-\chi(y, x)} c'_y s'(c_x). \end{aligned}$$

By (iii),  $s': A \rightarrow A_{-\chi}$  is an algebra anti-homomorphism; it follows from induction that

$$\begin{aligned} s's(a) &= -s'(a) - \sum_{x+y=d; x, y \neq 0} s's(c_y) * s'(c_x) \\ &= a + \sum_{x+y=d; x, y \neq 0} c^{-\chi(y, x)} c'_y s'(c_x) - \sum_{x+y=d; x, y \neq 0} c^{-\chi(y, x)} c'_y s'(c_x) \\ &= a. \end{aligned}$$

Dually, we have  $ss'(a) = a$ .

This completes the proof.  $\blacksquare$

In general, it is not always easy to verify a given map  $s: A \rightarrow A$  is the antipode for a  $\chi$ -bialgebra  $A$ , but it should be simpler to check (2.7) only on generators of  $A$ . Thus, it is convenient to have the following

**LEMMA 2.11.** *Let  $A$  be a  $\chi$ -bialgebra and let  $s: A \rightarrow A_{\chi^T}$  be an  $\mathbb{N}_0$ -graded algebra anti-homomorphism. Assume that  $A$  is generated as an algebra by a subset  $X$  consisting of homogeneous elements of  $A$ , such that (2.7) holds for all  $a \in X$ . Then  $s$  is the antipode of  $\chi$ -Hopf algebra  $A$ .*

*Proof.* By assumption, it suffices to prove that if  $m(\text{id} \otimes s)\delta(a) = \varepsilon(a)$  and  $m(\text{id} \otimes s)\delta(b) = \varepsilon(b)$ , then  $m(\text{id} \otimes s)\delta(ab) = \varepsilon(ab)$ , where  $a, b$  are homogeneous elements in  $A$ .

Let  $\delta(a) = \sum a_1 \otimes a_2$  and  $\delta(b) = \sum b_1 \otimes b_2$  with all factors homogeneous. Then by **R2** and (2.5) we have

$$\delta(ab) = \sum c^{\chi(|a_2|, |b_1|)} a_1 b_1 \otimes a_2 b_2,$$

and hence

$$\begin{aligned} m(\text{id} \otimes s) \delta(ab) &= \sum c^{\chi(|a_2|, |b_1|)} a_1 b_1 s(a_2 b_2) \\ &= \sum c^{\chi(|a_2|, |b_1|) + \chi^T(|b_2|, |a_2|)} a_1 b_1 s(b_2) s(a_2) \\ &= \sum c^{\chi(|a_2|, |b|)} a_1 b_1 s(b_2) s(a_2) \\ &= \sum c^{\chi(|a_2|, |b|)} a_1 s(a_2) \varepsilon(b). \end{aligned}$$

It follows that if  $b \notin A_0$ , then  $\varepsilon(b) = 0$  and  $m(\text{id} \otimes s) \delta(ab) = 0 = \varepsilon(ab)$ , and if  $b \in A_0$ , then

$$\begin{aligned} m(\text{id} \otimes s) \delta(ab) &= \sum a_1 s(a_2) \varepsilon(b) = m(\text{id} \otimes s) \delta(a) \varepsilon(b) \\ &= \varepsilon(a) \varepsilon(b) = \varepsilon(ab). \end{aligned}$$

■

**EXAMPLE 2.12** [L, p. 2]. Let  $K, c, I, \chi$  be as in 2.8. Consider Lusztig's algebra  ${}'\mathbf{F}$ , which is by definition the free  $k$ -algebra with generators  $\theta_i$ ,  $i \in I$ . Then  ${}'\mathbf{F}$  is an  $\mathbb{N}_0 I$ -graded algebra with  ${}'\mathbf{F} = \bigoplus_{x \in \mathbb{N}_0 I} {}'\mathbf{F}_x$ , where for  $x = (x_i)_{i \in I} \in \mathbb{N}_0 I$ ,  ${}'\mathbf{F}_x$  is the  $K$ -space with basis the monomials  $\theta_{i_1} \dots \theta_{i_l}$ , where  $l = \sum_{i \in I} x_i$ , such that for any  $i \in I$ , the number of occurrences of  $i$  in the sequence  $i_1, \dots, i_l$  is equal to  $x_i$ . In particular,  ${}'\mathbf{F}_0 = K$ . Let  $\delta: {}'\mathbf{F} \rightarrow ({}'\mathbf{F} \otimes {}'\mathbf{F})_\chi$  be the unique algebra homomorphism such that  $\delta(\theta_i) = \theta_i \otimes 1 + 1 \otimes \theta_i$ ,  $i \in I$ , and  $\varepsilon: {}'\mathbf{F} \rightarrow K$  be the unique algebra homomorphism such that  $\varepsilon(1) = 1$ ,  $\varepsilon(\theta_i) = 0$ . Then  ${}'\mathbf{F}$  is a  $\chi$ -bialgebra and hence a  $\chi$ -Hopf algebra by Theorem 2.10(i). Let  $s: {}'\mathbf{F} \rightarrow {}'\mathbf{F}_{\chi^T}$  be the unique algebra anti-homomorphism such that  $s(1) = 1$  and  $s(\theta_i) = -\theta_i$  for all  $i \in I$ . It follows from Lemma 2.11 that  $s$  is the antipode of the  $\chi$ -Hopf algebra  ${}'\mathbf{F}$ .

**EXAMPLE 2.13.** In his study of quantum shuffle algebras, Rosso [M] has given a class of twisted bialgebras. In [Ro, Proposition 12] Rosso defines a twisted bialgebra structure on the tensor space  $T(V)$  with algebra generators  $\theta_i$  ( $i \in I$ ) (Rosso uses different notation) satisfying the relation  $\theta_i \theta_j = q_{ij} \theta_j \theta_i$ , where  $q_{ij}$  are arbitrary non-zero elements of  $K$ , and  $T(V) \otimes T(V)$  has a multiplication rule as Lusztig's, with  $q_{ij}$  replacing  $c^{\chi(i, j)}$  in (2.5) in 2.6.

*Remark 2.14.* We are grateful to the following observation by the referee: Note that any  $\chi$ -Hopf algebra  $A$  can be embedded in an “untwisted” Hopf algebra  $T \otimes A$ , where  $T$  is the group algebra over  $K$  of the additive group  $\mathbb{Z}I$ , and  $T \otimes A$  is a “twisted product” of  $T$  and  $A$ . This twist is so designed that it “cancels” the twist in  $(A \otimes A)_\chi$ , with the result that  $T \otimes A$  is a Hopf algebra in the usual sense. This construction of Hopf algebra  $T \otimes A$  in special cases has been used by Lusztig [L, p. 19] for the algebra  $\mathbf{f}$  and by Xiao [X, p. 122] for the Ringel–Hall algebra, and it also works in general; see the Appendix at the end of this paper by the referee.

### 3. $\chi$ -HOPF ALGEBRA STRUCTURE ON TWISTED RINGEL ALGEBRAS

The aim of this section is to study the properties of a twisted Ringel–Hall algebra and Ringel’s composition algebra, from the point of view of a  $\chi$ -Hopf algebra structure.

3.1. We briefly recall some basic points on the twisted Ringel–Hall algebras and Ringel’s composition algebras.

Let  $k$  be a finite field with  $|k| = q_k$ . Set  $v_k = \sqrt{q_k}$ . Let  $\Lambda$  be a finite-dimensional, hereditary  $k$ -algebra with all simple  $\Lambda$ -modules  $S(1), \dots, S(n)$ , up to isomorphism. Denote by  $\Lambda\text{-mod}$  the category of finite-dimensional left  $\Lambda$ -modules, which is exactly the category of  $\Lambda$ -modules with finitely many elements. The Grothendieck group  $K_0(\Lambda)$  of all finite  $\Lambda$ -modules modulo short exact sequences can be identified with  $\mathbb{Z}^n$ , such that the image of  $S(i)$  in it is the  $i$ th coordinate vector. For  $M \in \Lambda\text{-mod}$ , denote its isoclass by  $[M]$  and its image in  $K_0(\Lambda)$  by  $\mathbf{dim} M$  which is called the dimension vector of  $M$ . Let  $\mathcal{P}$  denote the set of isoclasses of all modules in  $\Lambda\text{-mod}$ ,  $\mathcal{P}_1 = \mathcal{P} \setminus \{[0]\}$ .

Given  $M, N \in \Lambda\text{-mod}$ , let

$$\langle M, N \rangle = \dim_k \operatorname{Hom}_\Lambda(M, N) - \dim_k \operatorname{Ext}_\Lambda^1(M, N). \quad (3.1)$$

Since  $\Lambda$  is hereditary, the integer  $\langle M, N \rangle$  depends only on the dimension vectors  $\mathbf{dim} M$  and  $\mathbf{dim} N$ , not on  $M$  and  $N$  themselves. So  $\langle -, - \rangle$  induces a bilinear form on  $\mathbb{Z}^n$ , which will be called the Ringel form. Denote its symmetrization by  $(-, -)$ . Thus,

$$(M, N) = \langle M, N \rangle + \langle N, M \rangle. \quad (3.2)$$

Given  $M, N_1, \dots, N_t \in \Lambda\text{-mod}$ , let  $g_{N_1, \dots, N_t}^M$  be the number of filtrations

$$M = M_0 \supseteq M_1 \supseteq \dots \supseteq M_t = 0$$

of  $M$  such that  $M_{i-1}/M_i \cong N_i$  for  $1 \leq i \leq t$ . In particular,  $g_N^M = 1$  if  $M \cong N$  and  $g_N^M = 0$  if  $M \not\cong N$ .

By definition (see [R4]) the twisted Ringel–Hall algebra  $\mathcal{H}(\Lambda)$  of  $\Lambda$  is the  $\mathbb{Q}(v_k)$ -space with basis  $\mathcal{P}$  and a  $\mathbb{Q}(v_k)$ -bilinear multiplication given by

$$[M][N] = \sum_{[L] \in \mathcal{P}} v_k^{\langle M, N \rangle} g_{M, N}^L [L]. \quad (3.3)$$

Then  $\mathcal{H}(\Lambda)$  is an  $\mathbb{N}_0^n$ -graded, associative  $\mathbb{Q}(v_k)$ -algebra with unit  $[0]$ , where for  $x \in \mathbb{N}_0^n$ ,  $\mathcal{H}(\Lambda)_x$  is the  $\mathbb{Q}(v_k)$ -space with basis  $\{[M] \mid \mathbf{dim} M = x\}$ . In particular,  $\mathcal{H}(\Lambda)_0 = \mathbb{Q}(v_k)$ .

Note that here we use the twisted multiplication for  $\mathcal{H}(\Lambda)$ ; for the original one see [R2, M] (but for discrete valuation rings).

Let  $a_M$  denote the order of automorphism group of  $\Lambda$ -module  $M$ . Define the  $\mathbb{Q}(v_k)$ -linear map  $\delta: \mathcal{H}(\Lambda) \rightarrow \mathcal{H}(\Lambda) \otimes \mathcal{H}(\Lambda)$  by (see [G1])

$$\delta([L]) = \sum_{[M], [N] \in \mathcal{P}} v_k^{\langle M, N \rangle} \frac{a_M a_N}{a_L} g_{M, N}^L ([M] \otimes [N]). \quad (3.4)$$

Define the  $\mathbb{Q}(v_k)$ -linear map  $\varepsilon: \mathcal{H}(\Lambda) \rightarrow \mathbb{Q}(v_k)$  by (see [R6])

$$\varepsilon([M]) = \begin{cases} 1, & [M] = [0] \\ 0, & [M] \neq [0]. \end{cases} \quad (3.5)$$

Set  $\chi$  to be the symmetrization  $(-, -)$  of the Ringel form  $\langle -, - \rangle$ , and set  $I$  to be a set of  $n$  elements, where  $K_0(\Lambda) = \mathbb{Z}^n$ . Then by [R6, p. 212; G1, Theorem 1] and Theorem 2.10 we have

**THEOREM (Ringel–Green).**  *$\mathcal{H}(\Lambda) = (\mathcal{H}(\Lambda), \delta, \varepsilon)$  is a  $(\mathbb{Q}(v_k), v_k, I, \chi)$ -bialgebra and hence a  $(\mathbb{Q}(v_k), v_k, I, \chi)$ -Hopf algebra with antipode  $s$ , where the multiplication on  $\mathcal{H}(\Lambda) \otimes \mathcal{H}(\Lambda)$  is given by*

$$([M] \otimes [N]) * ([M'] \otimes [N']) = v_k^{\langle N, M' \rangle} ([M][M'] \otimes [N][N']) \quad (3.6)$$

for  $M, N, M', N' \in \Lambda\text{-mod}$ .

Moreover,  $s: \mathcal{H}(\Lambda) \rightarrow \mathcal{H}(\Lambda)_\chi$  is an  $\mathbb{N}_0^n$ -graded,  $\mathbb{Q}(v_k)$ -algebra anti-isomorphism, where the multiplication on  $\mathcal{H}(\Lambda)_\chi$  is given by

$$[M] * [N] = v_k^{\langle M, N \rangle} [M][N] = \sum_{[L] \in \mathcal{P}} v_k^{2\langle M, N \rangle + \langle N, M \rangle} g_{M, N}^L [L] \quad (3.7)$$

for all  $[M], [N] \in \mathcal{P}$ , and  $s: \mathcal{H}(\Lambda)_\chi \rightarrow \mathcal{H}(\Lambda)$  is an  $\mathbb{N}_0^n$ -graded,  $\mathbb{Q}(v_k)$ -coalgebra anti-isomorphism, where the comultiplication on  $\mathcal{H}(\Lambda)_\chi$  is given by

$$\delta_\chi([L]) = \sum_{[M], [N] \in \mathcal{P}} v_k^{2\langle M, N \rangle + \langle N, M \rangle} \frac{a_M a_N}{a_L} g_{M, N}^L ([M] \otimes [N]) \quad (3.8)$$

for all  $[L] \in \mathcal{P}$ .

3.2. By definition [R3, R5], the composition algebra  $\mathcal{C}(\Lambda)$  is the subalgebra of  $\mathcal{H}(\Lambda)$  generated by  $[S(i)]$ ,  $1 \leq i \leq n$ . Thus,  $\mathcal{C}(\Lambda) = \bigoplus_{x \in \mathbb{N}_0^n} \mathcal{C}(\Lambda)_x$  is an  $\mathbb{N}_0^n$ -graded algebra, where for  $x = (x_i) \in \mathbb{N}_0^n$ ,  $\mathcal{C}(\Lambda)_x$  is the  $\mathbb{Q}(v_k)$ -space spanned by all monomials  $[S(i_1)] \cdots [S(i_l)]$  with  $l = \sum_{1 \leq i \leq n} x_i$ , such that the number of occurrences of  $i$  in the sequence  $i_1, \dots, i_l$  equals  $x_i$  for  $1 \leq i \leq n$ . Since  $\delta([S(i)]) = [S(i)] \otimes 1 + 1 \otimes [S(i)]$ , it follows that  $\mathcal{C}(\Lambda)$  is also a  $\chi$ -bialgebra. Since  $s$  is an algebra anti-isomorphism with  $s([S(i)]) = -[S(i)]$ , it follows that  $s(\mathcal{C}(\Lambda)) = \mathcal{C}(\Lambda)$ . Thus we have

COROLLARY. *The composition algebra  $\mathcal{C}(\Lambda)$  is a  $\chi$ -Hopf subalgebra of  $\mathcal{H}(\Lambda)$  with invertible antipode  $s$ . The assertions in Theorem 3.1 also hold for  $\mathcal{C}(\Lambda)$ . In particular, we have*

$$s([S(i)][S(j)]) = v_k^{(S(i), S(j))} [S(j)][S(i)] \quad (3.9)$$

for all  $1 \leq i, j \leq n$ .

LEMMA 3.3. *The antipode  $s$  of  $\mathcal{H}(\Lambda)$  is given by  $s([0]) = [0]$ , and for  $[M] \in \mathcal{P}_1$  by*

$$\begin{aligned} s([M]) &= \sum_{[L] \in \mathcal{P}_1} \sum_{t \geq 1} (-1)^t \\ &\times \sum_{[N_1], \dots, [N_t] \in \mathcal{P}_1} v_k^{2 \sum_{i < j} \langle N_i, N_j \rangle} \frac{a_{N_1} \cdots a_{N_t}}{a_M} g_{N_1, \dots, N_t}^M g_{N_1, \dots, N_t}^L [L], \end{aligned} \quad (3.10)$$

with the inverse  $s^{-1}$  given by  $s^{-1}([0]) = [0]$ , and for  $[M] \in \mathcal{P}_1$  by

$$\begin{aligned} s^{-1}([M]) &= \sum_{[L] \in \mathcal{P}_1} \sum_{t \geq 1} (-1)^t \\ &\times \sum_{[N_1], \dots, [N_t] \in \mathcal{P}_1} \frac{a_{N_1} \cdots a_{N_t}}{a_M} g_{N_1, \dots, N_t}^M g_{N_1, \dots, N_t}^L [L]. \end{aligned} \quad (3.11)$$

*Proof.* We have seen that  $\mathcal{H}(\Lambda)$  is a  $(\mathbb{Q}(v_k), v_k, I, \chi)$ -Hopf algebra, with antipode  $s$ . From the “extended” (twisted) Ringel–Hall algebra in the sense of [X], which is a Hopf algebra with antipode, say  $s'$ . By Theorem A in the Appendix by the referee we have the relation between  $s$  and  $s'$ , and then the formulae follow from [X, Theorem 4.5(c), p. 123]. ■

3.4. Green [G1] has also introduced a  $\mathbb{Q}(v_k)$ -valued (or  $\mathbb{R}$ -valued, where  $\mathbb{R}$  is the field of real numbers), positive-definite, symmetric, bilinear form  $(-, -)$  on  $\mathcal{H}(\Lambda)$ . Let  $M, N \in \Lambda\text{-mod}$ . Then  $(-, -): \mathcal{H}(\Lambda) \times \mathcal{H}(\Lambda)$



$\rightarrow \mathbb{Q}(v_k)$  is the  $\mathbb{Q}(v_k)$ -bilinear form defined as follows:

$$([M], [N]) = \begin{cases} \frac{|M|}{a_M}, & [M] = [N] \\ 0, & [M] \neq [N]. \end{cases} \quad (3.12)$$

Here, we use Ringel's modification on the values of  $(-, -)$  such that it coincides with Lusztig's form on  $\mathbf{f}$ ; see [R6]. Note that we will use  $\mathbb{R}$  instead of  $\mathbb{Q}(v_k)$ , if the Euclidean space  $\mathcal{H}(\Lambda)_x$  is emphasized.

One can distinguish the form  $(-, -)$  on  $\mathcal{H}(\Lambda)$  defined above from the symmetrization  $(-, -)$  of the Ringel form in context.

Note that the comultiplication  $\delta$  of  $\mathcal{H}(\Lambda)$  is adjoint to the multiplication under the bilinear form  $(-, -)$  on  $\mathcal{H}(\Lambda)$ , i.e.,

$$(a, bc) = (\delta(a), b \otimes c) \quad (3.13)$$

for  $a, b, c \in \mathcal{H}(\Lambda)$ , where the form  $(-, -)$  on  $\mathcal{H}(\Lambda) \times \mathcal{H}(\Lambda)$  is defined by

$$(a_1 \otimes a_2, b_1 \otimes b_2) = (a_1, b_1)(a_2, b_2)$$

for all  $a_1, a_2, b_1, b_2 \in \mathcal{H}(\Lambda)$ .

The following theorem shows that the antipode  $s$  of  $\mathcal{H}(\Lambda)$  is self-adjoint under the bilinear form  $(-, -)$  on  $\mathcal{H}(\Lambda)$ .

**THEOREM 3.5.** *Let  $(-, -)$  be the bilinear form on  $\mathcal{H}(\Lambda)$ . Then for all  $a, b \in \mathcal{H}(\Lambda)$  we have*

$$(s(a), b) = (a, s(b)). \quad (3.14)$$

*Proof.* Since  $(-, -)$  respects the grading, i.e.,  $(\mathcal{H}(\Lambda)_x, \mathcal{H}(\Lambda)_y) = 0$  for  $x \neq y$ , and  $s$  preserves the grading, it follows that it suffices to consider the case  $a = [M]$ ,  $b = [N]$  with  $\dim M = \dim N \neq 0$ . By Lemma 3.3 we have

$$\begin{aligned} (s([M]), [N]) &= \sum_{[L] \in \mathcal{P}_1} \sum_{t \geq 1} (-1)^t \sum_{[N_1], \dots, [N_t] \in \mathcal{P}_1} v_k^{2\sum_{i < j} \langle N_i, N_j \rangle} \\ &\quad \times \frac{a_{N_1} \cdots a_{N_t}}{a_M} g_{N_1, \dots, N_t}^M g_{N_1, \dots, N_t}^L([L], [N]) \\ &= \sum_{t \geq 1} (-1)^t \sum_{[N_1], \dots, [N_t] \in \mathcal{P}_1} v_k^{2\sum_{i < j} \langle N_i, N_j \rangle} \\ &\quad \times \frac{a_{N_1} \cdots a_{N_t} |N|}{a_M a_N} g_{N_1, \dots, N_t}^M g_{N_1, \dots, N_t}^N \end{aligned}$$

and

$$([M], s([N])) = \sum_{t \geq 1} (-1)^t \sum_{[N_1], \dots, [N_t] \in \mathcal{P}_1} v_k^{2 \sum_{i < j} \langle N_i, N_j \rangle} \\ \times \frac{a_{N_1} \cdots a_{N_t} |M|}{a_N a_M} g_{N_1, \dots, N_t}^N g_{N_1, \dots, N_t}^M.$$

Then the assertion follows from  $|M| = |N|$ , since  $\dim M = \dim N$ . ■

3.6. Let  $0 \neq x \in \mathbb{N}_0^n$ . Denote by

$$A_x = \sum_{y+z=x; y, z \neq 0} \mathcal{H}(\Lambda)_y \mathcal{H}(\Lambda)_z \subseteq \mathcal{H}(\Lambda)_x.$$

Since the bilinear form  $(-, -)$  on  $\mathcal{H}(\Lambda)$  is positive definite and every homogeneous component  $\mathcal{H}(\Lambda)_x$  is finite dimensional, we have the orthogonal complement  $B_x$  of  $A_x$  in  $\mathcal{H}(\Lambda)_x$ , i.e.,

$$(A_x, B_x) = 0, \quad A_x \oplus B_x = \mathcal{H}(\Lambda)_x.$$

Choose an orthogonal basis of  $B_x$ , and denote by  $(\theta_i)_{i \in I}$  the union of these bases over  $0 \neq x \in \mathbb{N}_0^n$ . The following observation is due to Sevenhant and Van den Bergh [SV]

LEMMA. *With the notations as above,  $(\theta_i)_{i \in I}$  is a set of homogeneous generators of  $\mathcal{H}(\Lambda)$ , and every  $\theta_i$  is  $\delta$ -primitive, i.e.,  $\delta(\theta_i) = 1 \otimes \theta_i + \theta_i \otimes 1$ .*

*Proof.* It is clear by construction and induction that  $(\theta_i)_{i \in I}$  is a set of generators of  $\mathcal{H}(\Lambda)$ . For the convenience of the reader, we quoted the following argument from [SV].

Choose a homogeneous orthogonal basis  $(f_j)_{j \in J}$  for  $\mathcal{H}(\Lambda)$ , and assume that  $(\theta_i)_{i \in I} \subset (f_j)_{j \in J}$ . Write

$$\delta(\theta_i) = \sum_{j,l} c_{j,l} f_j \otimes f_l$$

for  $c_{j,l} \in \mathbb{R}$ . Then by (3.13) we have

$$(\theta_i, f_m f_t) = \sum_{j,l} c_{j,l} (f_j, f_m) (f_l, f_t) = c_{mt}.$$

Thus, if  $\theta_i$  and  $f_m f_t$  are in different homogeneous components of  $\mathcal{H}(\Lambda)$ , then  $c_{mt} = 0$ ; and if  $\theta_i$  and  $f_m f_t$  are in the same homogeneous component  $\mathcal{H}(\Lambda)_x$ , then by the definition of  $(\theta_i)_{i \in I}$  we also have  $c_{mt} = 0$ , unless  $f_m = 1$  and  $f_t = \theta_i$ , or  $f_t = 1$  and  $f_m = \theta_i$ . This completes the proof. ■

THEOREM 3.7. *Keep the notations in 3.6. Then we have*

(i) *The set of  $\delta$ -primitive elements of  $\mathcal{H}(\Lambda)$  is exactly*

$$\bigoplus_{x \in \mathbb{N}_0^n} B_x;$$

*i.e.,  $(\theta_i)_{i \in I}$  is a basis of the space of  $\delta$ -primitive elements of  $\mathcal{H}(\Lambda)$ .*

(ii)  *$\mathcal{E}(\Lambda) = \mathcal{H}(\Lambda)$  if and only if the set of  $\delta$ -primitive elements of  $\mathcal{H}(\Lambda)$  is exactly the space with  $[S(i)]$ ,  $1 \leq i \leq n$ , as a basis.*

*Proof.* (i) Let  $a = \sum_{x \in \mathbb{N}_0^n} a_x$  be a  $\delta$ -primitive element of  $\mathcal{H}(\Lambda)$  with  $0 \neq a_x \in \mathcal{H}(\Lambda)_x$ . By Lemma 3.6 it suffices to prove the  $a_x \in B_x$ .

First, we claim that all homogeneous components  $a_x$  are  $\delta$ -primitive elements. In fact, by Lemma 2.2 we have  $\delta(a_x) = a_x \otimes 1 + 1 \otimes a_x + \sum_{y+z=x; y, z \neq 0} a_{x,y} \otimes a'_{x,z}$ , where  $a_{x,y} \in \mathcal{H}(\Lambda)_y$ ,  $a'_{x,z} \in \mathcal{H}(\Lambda)_z$ , and hence we have

$$\begin{aligned} \sum_x (1 \otimes a_x + a_x \otimes 1) &= 1 \otimes a + a \otimes 1 \\ &= \delta(a) = \sum_x \delta(a_x) \\ &= \sum_x \left( 1 \otimes a_x + a_x \otimes 1 + \sum_{y+z=x; y, z \neq 0} a_{x,y} \otimes a'_{x,z} \right), \end{aligned}$$

and hence the claim follows by comparing the homogeneous components of degree  $(y, z)$ .

Now, assume that some  $a_x \notin B_x$ . Then  $a_x = b_x + a'_x$  with  $b_x \in B_x$  and  $0 \neq a'_x \in A_x$ , and hence  $a'_x$  is also a  $\delta$ -primitive element. By definition of  $A_x$  we can write  $a'_x = \sum_{y+z=x; y, z \neq 0} b_y b'_z$  with  $b_y \in A_y$ ,  $b'_z \in A_z$ . Then by (3.13) we obtain the contradiction

$$\begin{aligned} 0 &\neq (a'_x, a'_x) \\ &= \sum_{y+z=x; y, z \neq 0} (a'_x, b_y b'_z) \\ &= \sum_{y+z=x; y, z \neq 0} (1 \otimes a'_x + a'_x \otimes 1, b_y \otimes b'_z) \\ &= 0. \end{aligned}$$

This proves (i).

(ii) Note that  $\mathcal{E}(\Lambda) = \mathcal{H}(\Lambda)$  if and only if  $\mathcal{E}(\Lambda)_x = \mathcal{H}(\Lambda)_x$  for all  $x \in \mathbb{N}_0^n$ . Since  $\mathcal{E}(\Lambda)_x = \sum_{y+z=x; y, z \neq 0} \mathcal{E}(\Lambda)_y \mathcal{E}(\Lambda)_z$  for  $x \neq \dim S(i)$ ,  $1 \leq i \leq n$ , it follows that  $\mathcal{E}(\Lambda) = \mathcal{H}(\Lambda)$  if and only if  $B_x = 0$  for  $x \neq \dim S(i)$ ,  $1 \leq i \leq n$ , and by (i) if and only if the set of  $\delta$ -primitive elements of  $\mathcal{H}(\Lambda)$  is exactly the spaces with  $[S(i)]$ ,  $1 \leq i \leq n$ , as a basis. ■

For more information on comparing  $\mathcal{E}(\Lambda)$  and  $\mathcal{H}(\Lambda)$  see [R5, Z3].

## 4. GREEN'S CATEGORIES AND POLYNOMIALS

4.1. Throughout this section, let  $K$  be a field, let  $c$  be a non-zero element in  $K$ , and let  $(I, \cdot)$  be a datum. Recall that a pair  $(I, \cdot)$  is called a datum if  $I$  is a set and  $\cdot : \mathbb{Z}I \times \mathbb{Z}I \rightarrow \mathbb{Z}$  is a symmetric, bilinear form.

In his papers [G1, G2], Green has introduced the following interesting category  $\mathcal{L}(K, c, I, \cdot)$ , the aim of this section is to give some more properties of this category.

By definition [G1, G2], an object  $L$  in the category  $\mathcal{L}(K, c, I, \cdot)$  is a  $K$ -algebra satisfying the following properties:

**L1.**  $L = \bigoplus_{x \in \mathbb{N}_0 I} L_x$  with  $L_0 = K$  is an  $\mathbb{N}_0 I$ -graded, associative  $K$ -algebra generated by elements  $u_i \in L_i$ ,  $i \in I$ . We call  $u_i$ ,  $i \in I$ , the generators of  $L$ .

**L2.** There is an algebra homomorphism  $\delta: L \rightarrow (L \otimes L)_\chi$ , where the algebra structure on  $(L \otimes L)_\chi$  is given by Lusztig's rule, i.e., the formula (2.5) in 2.6 with  $\chi = \cdot$ , such that all  $u_i$  are  $\delta$ -primitive elements.

**L3.** There is a symmetric bilinear form  $(-, -): L \times L \rightarrow K$  such that

(i)  $(-, -)$  respects the grading, i.e.,  $(L_x, L_y) = 0$  for  $x \neq y$  in  $\mathbb{N}_0 I$ .

(ii)  $(1, 1) = 1$ ;  $(u_i, u_i) \neq 0$  for  $i \in I$ .

(iii) For  $a, b, c \in L$  there holds

$$(a, bc) = (\delta(a), b \otimes c)$$

where the bilinear form  $(-, -)$  on  $L \times L$  is defined by

$$(a_1 \otimes a_2, b_1 \otimes b_2) = (a_1, b_1)(a_2, b_2).$$

The bilinear form on  $L$  also will be called the Lusztig form.

A morphism between objects  $L, L'$  of  $\mathcal{L}(K, c, I, \cdot)$  is a  $K$ -algebra homomorphism  $\psi: L \rightarrow L'$  sending the generators  $u_i$  of  $L$  to the generators  $u'_i$  of  $L'$ ,  $i \in I$ .

4.2. *Notations.* Let  $x = (x_i)_{i \in I} \in \mathbb{N}_0 I$  with length  $l = \sum_{i \in I} x_i$ . Denote by  $I(x)$  the set of all vectors  $a = (a_1, \dots, a_l) \in I^l$  with weight  $x$ , i.e., with the property

$$|\{t \mid 1 \leq t \leq l, a_t = i\}| = x_i, \quad i \in I.$$

Then

$$|I(x)| = \frac{(\sum_{i \in I} x_i)!}{\prod_{i \in I} x_i!}.$$

Let  $L \in \mathcal{L}(K, c, I, \cdot)$  with generators  $u_i$ ,  $i \in I$ . For  $a = (a_1, \dots, a_l) \in I(x)$ , define

$$u_a = \begin{cases} u_{a_1} \cdots u_{a_l}, & l > 0 \\ 1, & l = 0. \end{cases} \quad (4.1)$$

Define

$$\sigma(a) = (a_l, \dots, a_1) \in I(x). \quad (4.2)$$

LEMMA 4.3. Assume that  $L$  is a  $(K, c, I, \cdot)$ -Hopf algebra with antipode  $s$  and satisfies **L1** with generators  $u_i$ ,  $i \in I$ . Let  $x = (x_i)_{i \in I} \in \mathbb{N}_0 I$  with length  $l$ , and  $a = (a_1, \dots, a_l) \in I(x)$ . Then

$$s(u_a) = \lambda(x) u_{\sigma(a)}, \quad (4.3)$$

where

$$\lambda(x) = (-1)^l c^{\sum_{i \neq j, i \in I} x_i x_j (i \cdot j / 2) + \sum_{i \in I} x_i (x_i - 1) i \cdot i / 2}, \quad (4.4)$$

and  $\sigma(a)$  is as in (4.2).

In particular,  $s(u_i) = -u_i$ ,  $i \in I$ .

*Proof.* Set  $\chi = \cdot$ . According to Theorem 2.10, the antipode  $s: L \rightarrow L_\chi$  is an  $\mathbb{N}_0 I$ -graded algebra anti-homomorphism with  $s(u_i) = -u_i$ , where the multiplication  $*$  of  $L_\chi$  is given by the rule (2.1) in 2.3. It follows that

$$s(u_a) = (-1)^l u_{a_l} * \cdots * u_{a_1} = (-1)^l c^{\sum_{w > r} a_r a_w} u_{a_l} \cdots u_{a_1} = \lambda(x) u_{\sigma(a)},$$

where by easy calculations we have

$$\lambda(x) = (-1)^l c^{\sum_{i \neq j, i \in I} x_i x_j (i \cdot j / 2) + \sum_{i \in I} x_i (x_i - 1) i \cdot i / 2},$$

where the symmetry of  $\cdot$  has been used. ■

*Remark.* By the formula (4.4) we see that  $\lambda(x)$  depends only on  $x \in \mathbb{Z} I$ , not on  $a \in I(x)$ . This is no longer true if  $\cdot$  is not symmetric. We shall use this fact in the proof of Theorem 4.7 below, and this is the reason for the assumption of the symmetry of  $\cdot$  in this section.

The following theorem gives the main properties of an object in the category  $\mathcal{L}(K, c, I, \cdot)$  which relates to its antipode  $s$ .

THEOREM 4.4. Let  $L$  be an object in  $\mathcal{L}(K, c, I, \cdot)$ . Then

(i)  $L$  becomes a  $(K, c, I, \cdot)$ -Hopf algebra, where the counit  $\varepsilon$  of  $L$  is the projection onto  $L_0 = K$ .

(ii) The antipode  $s$  of  $L$  is self-adjoint under the symmetric bilinear form  $(-, -)$  on  $L$ , i.e.,

$$(s(x), y) = (x, s(y)), \quad \forall x, y \in L. \quad (4.5)$$

(iii) Any morphism  $\psi$  between objects  $L, L' \in \mathcal{L}(K, c, I, \cdot)$  is a  $(K, c, I, \cdot)$ -Hopf algebra homomorphism (i.e.,  $\psi: L \rightarrow L'$  is simultaneously a  $K$ -algebra homomorphism and a  $K$ -coalgebra homomorphism such that  $\psi s = s'\psi$ , where  $s'$  is the antipode of  $L'$ ).

(iv) Let  $\mathcal{I}$  be the two-sided ideal  $\{a \in L \mid (a, x) = 0, \forall x \in L\}$  of  $L$ . Then  $s(\mathcal{I}) = \mathcal{I}$ , and  $L/\mathcal{I} \in \mathcal{L}(K, c, I, \cdot)$  with the antipode induced by  $s$ .

(v) Let  $\delta$  be the comultiplication of  $L$ . If the bilinear form  $(-, -)$  on  $L$  is positive definite, then the set of  $\delta$ -primitive elements is  $\bigoplus_{i \in I} L_i$ .

*Proof.* (i) Let  $\delta$  be the  $K$ -map for  $L$  given in **L2**. Then  $\delta$  is coassociative, and then by **L1** and **L2** one sees that  $L = (L, \delta, \varepsilon)$  is a  $(K, c, I, \cdot)$ -bialgebra, and hence from Theorem 2.10(i) the assertion follows.

(ii) Since  $(-, -)$  respects the grading, and  $s$  is a graded map, it suffices to prove (4.5) for  $x, y \in L_\mu$  being monomials. Use induction on  $\mu$ . It is clear for  $\mu = 0$  and  $\mu = i \in I$ . Assume that  $x = x'x''$ ,  $y = y'y''$  with all factors being monomials not in  $L_0 = K$ . Let  $\delta(x') = \sum x'_1 \otimes x'_2$ ,  $\delta(x'') = \sum x''_1 \otimes x''_2$ . Then

$$\delta(x) = \sum c^{|x'_2| \cdot |x''_1|} x'_1 x''_1 \otimes x'_2 x''_2.$$

Set  $\chi = \cdot$ . Then

$$\delta_\chi(x) = \sum c^{|x'_2| \cdot |x''_1| + |x'_1 x''_1| \cdot |x'_2 x''_2|} x'_1 x''_1 \otimes x'_2 x''_2.$$

By Theorem 2.10(iv) we have

$$\begin{aligned} (s(x), y) &= (\delta s(x), y' \otimes y'') \\ &= (T(s \otimes s) \delta_\chi(x), y' \otimes y'') \\ &= \sum c^{|x'_2| \cdot |x''_1| + |x'_1 x''_1| \cdot |x'_2 x''_2|} (s(x'_2 x''_2) \otimes s(x'_1 x''_1), y' \otimes y'') \\ &= \sum c^{|x'_2| \cdot |x''_1| + |x'_1 x''_1| \cdot |x'_2 x''_2|} (s(x'_2 x''_2), y') (s(x'_1 x''_1), y'') \\ &= \sum c^{|x'_2| \cdot |x''_1| + |x'_1 x''_1| \cdot |x'_2 x''_2|} (x'_2 x''_2, s(y')) (x'_1 x''_1, s(y'')) \\ &= \sum c^{|x'_2| \cdot |x''_1| + |y'| \cdot |y''|} (x'_1 x''_1, s(y'')) (x'_2 x''_2, s(y')) \\ &= \sum c^{|x'_2| \cdot |x''_1|} (x'_1 x''_1 \otimes x'_2 x''_2, c^{|y'| \cdot |y''|} s(y'') \otimes s(y')) \\ &= (\delta(x), c^{|y'| \cdot |y''|} s(y'') \otimes s(y')) \\ &= (x, s(y' y'')). \end{aligned}$$

(iii) Let  $L' = (L', m', e', \delta', \varepsilon', s')$  with generators  $u'_i$ ,  $i \in I$ . We need to prove  $\varepsilon'\psi = \varepsilon$ ,  $\delta'\psi = (\psi \otimes \psi)\delta$ , and  $\psi s = s'\psi$ .

Since  $\psi$  is a graded map, it follows that  $\varepsilon'\psi = \varepsilon$ .

Since both  $\delta'\psi$  and  $(\psi \otimes \psi)\delta$  are  $K$ -algebra homomorphisms from  $L$  to  $(L' \otimes L')_\chi$ , it suffices to check their values on the generators  $u_i$ ,  $i \in I$ , which are clearly same.

It remains to check  $\psi s(u_a) = s'\psi(u_a)$  for all  $a \in I(\mu)$  and all  $\mu$ . Since  $\psi(u_a) = u'_a$ , the assertion follows from Lemma 4.3.

(iv) By the argument in [L, 1.2.6] we see that  $L/\mathcal{J}$  also becomes a  $(K, c, I, \cdot)$ -bialgebra satisfying **L1** and **L3**. Since  $s$  is invertible by Theorem 2.10(v), it follows from (ii) that  $s(\mathcal{J}) = \mathcal{J}$ , and hence  $s$  is also the antipode of  $L/\mathcal{J}$ .

(v) Just repeat the proof of Theorem 3.7(i). ■

4.5. EXAMPLE. As in Example 2.12, we know that Lusztig's algebra  $'\mathbf{F} = K\langle I \rangle$  is a free,  $(K, c, I, \cdot)$ -Hopf algebra, say, with antipode  $s$ . On the other hand, by the argument in [L, Proposition 1.2.3] we see that  $'\mathbf{F}$  also satisfies **L3**. Then by Theorem 4.4(iv)  $\mathbf{F} = '\mathbf{F}/\mathcal{J} \in \mathcal{L}(K, c, I, \cdot)$  with the antipode induced by  $s$ .

The following lemma due to Green gives a common property of the algebras in Green's class with datum  $(I, \cdot)$ , see [G1, Proposition 3.2(a); G2, Proposition 2.3].

LEMMA 4.6 (Green). *Let  $(I, \cdot)$  be a datum, let  $x = (x_i)_{i \in I} \in \mathbb{N}_0 I$ , and let  $a, b \in I(x)$ . Then there exists a Laurent polynomial  $M_{a,b}(t) \in \mathbb{Z}[t, t^{-1}]$  with  $t$  an indeterminate such that for any  $K, c$  as in 4.1 and for any  $L \in \mathcal{L}(K, c, I, \cdot)$  with generators  $u_i$ ,  $i \in I$ , there holds*

$$(u_a, u_b) = M_{a,b}(c) B_x(L) \quad (4.6)$$

with

$$B_x(L) = \prod_{i \in I} (u_i, u_i)^{x_i}.$$

The polynomials  $M_{a,b}(t)$  will be called the Green polynomials. One of the advantages of the Green polynomials is that they depend only on the datum  $(I, \cdot)$ , but not on  $K, c$ , nor on  $L$ ; and also the bilinear form  $(-, -)$  on  $L \in \mathcal{L}(K, c, I, \cdot)$  depends only on the values  $(u_i, u_i)$ ,  $i \in I$ , not on  $K$ , nor on  $c$ . Of course,  $M_{a,b}(t)$  is symmetric, i.e.,  $M_{a,b}(t) = M_{b,a}(t)$ .

The following result implies that Green's polynomials enjoy some cyclic-symmetry.

THEOREM 4.7. *Let  $(I, \cdot)$  be an arbitrary datum. Let  $x = (x_i)_{i \in I} \in \mathbb{N}_0 I$ , and  $a, b \in I(x)$ . Then*

$$M_{\sigma(a), b}(t) = M_{a, \sigma(b)}(t). \quad (4.7)$$

*Proof.* Choose  $K$  to be the field of real numbers. Consider the corresponding Lusztig algebra  $\mathbf{F}$  in  $\mathcal{L}(K, c, I, \cdot)$ . Since both  $a, b \in I(x)$ , it follows from Lemma 4.3 that  $s(\theta_a) = \lambda(x)\theta_{\sigma(a)}$  and  $s(\theta_b) = \lambda(x)\theta_{\sigma(b)}$ , and hence by Theorem 4.4(ii) we have

$$\lambda(x)(\theta_{\sigma(a)}, \theta_b) = (s(\theta_a), \theta_b) = (\theta_a, s(\theta_b)) = \lambda(x)(\theta_a, \theta_{\sigma(b)}),$$

and hence  $(\theta_{\sigma(a)}, \theta_b) = (\theta_a, \theta_{\sigma(b)})$ . It follows from Lemma 4.6 that

$$M_{\sigma(a), b}(c)B_x(\mathbf{F}) = (\theta_{\sigma(a)}, \theta_b) = (\theta_a, \theta_{\sigma(b)}) = M_{a, \sigma(b)}(c)B_x(\mathbf{F});$$

thus  $M_{\sigma(a), b}(c) = M_{a, \sigma(b)}(c)$  for all non-zero real numbers  $c$ . It follows that (4.7) holds. ■

By [G2, Proposition 2.5] and Theorem 4.4(iii) we have

LEMMA 4.8. *Any two non-degenerate members  $L$  and  $L'$  in  $\mathcal{L}(K, c, I, \cdot)$  are isomorphic as  $(K, c, I, \cdot)$ -Hopf algebras by sending the generators  $u_i$  of  $L$  to the generators  $u'_i$  of  $L'$ ,  $i \in I$ .*

Assume that  $(I, \cdot)$  is a Cartan datum in Lusztig's sense; i.e.,  $I$  is a finite set, and  $\cdot$  is a symmetric bilinear form on  $\mathbb{Z}I$  such that  $\frac{i \cdot i}{2}$  is a positive integer for any  $i \in I$ , and that  $2\frac{i \cdot j}{i \cdot i}$  is a non-positive integer for any  $i \neq j$  in  $I$ .

Notice that for any finite field with  $|k| = q_k$ , there exists a finite dimensional hereditary  $k$ -algebra  $\Lambda$  of type  $(I, \cdot)$ ; i.e., the symmetrization  $(-, -)$  of the Ringel form of  $\Lambda$  is exactly  $\cdot$ , namely,  $(S(i), S(j)) = i \cdot j$  for  $i \in I$ . Set  $v_k = \sqrt{q_k}$ . Then  $\mathcal{E}(\Lambda) \in \mathcal{L}(\mathbb{Q}(v_k), v_k, I, \cdot)$ .

Consider the Lusztig algebra  $\mathbf{F}$  associated with  $(I, \cdot)$  over  $\mathbb{Q}(v_k)$ . The following isomorphism  $\pi$  can be regarded as the non-generic case of the Ringel–Green isomorphism described in [G1, Theorem 3].

COROLLARY 4.9. *There is a unique  $(\mathbb{Q}(v_k), v_k, I, \cdot)$ -Hopf algebra isomorphism*

$$\pi: \mathcal{E}(\Lambda) \cong \mathbf{F} \tag{4.8}$$

with  $\pi([S(i)]) = \theta_i$ ,  $i \in I$ . Denote the bilinear forms on  $\mathcal{E}(\Lambda)$  and on  $\mathbf{F}$  by  $(-, -)_1$  and  $(-, -)_2$ , respectively. Then we have

$$(\pi(a), \pi(b))_2 = (a, b)_1, \quad \forall a, b \in \mathcal{E}(\Lambda). \tag{4.9}$$

*Proof.* Since both  $\mathcal{E}(\Lambda)$  and  $\mathbf{F}$  are non-degenerate objects in  $\mathcal{L}(\mathbb{Q}(v_k), v_k, I, \cdot)$ , it follows from Lemma 4.8 that there is a unique  $(\mathbb{Q}(v_k), v_k, I, \cdot)$ -Hopf algebra isomorphism  $\pi: \mathcal{E}(\Lambda) \cong \mathbf{F}$  with  $\pi([S(i)]) = \theta_i$ ,  $i \in I$ . In order to see the formula (4.9), by Lemma 4.6 one only needs to



observe the fact that

$$([S(i)], [S(i)])_1 = \frac{v_k^{i \cdot i}}{v_k^{i \cdot i} - 1} = (\theta_i, \theta_i)_2, \quad i \in I.$$

■

4.10. Let  $\mathbb{Q}(v)$  be the functional field with indeterminate  $v$ . Let  $(I, \cdot)$  be a Cartan datum, and let  $U$  be the Drinfeld–Jimbo quantized enveloping algebra of type  $(I, \cdot)$  with positive part  $U^+$ . By definition  $U^+$  is the  $\mathbb{Q}(v)$ -algebra with generators  $E_i$ ,  $i \in I$ , and with quantum Serre relations as defining relations. It is proved by Lusztig [L, Theorem 33.1.3] that there is a unique  $\mathbb{Q}(v)$ -algebra isomorphism  $U^+ \cong \mathbf{f}$  sending  $E_i$  to  $\theta_i$ ,  $i \in I$ , where  $\mathbf{f}$  is the Lusztig algebra associated with  $(I, \cdot)$  over  $\mathbb{Q}(v)$ . This means that  $\mathbf{f} = \mathbf{f}/\mathcal{J}$ , where  $\mathcal{J}$  is exactly the two-sided ideal generated by the elements  $\theta_{ij}^+$  for  $i \neq j$  in  $I$ ,

$$\theta_{ij}^+ = \sum_{0 \leq t \leq 1 - a_{ij}} (-1)^t \begin{bmatrix} 1 - a_{ij} \\ t \end{bmatrix}_{i \cdot i/2} \theta_i^{1 - a_{ij} - t} \theta_j^t, \quad i \neq j \in I, \quad (4.10)$$

where  $a_{ij} = 2 \frac{i \cdot j}{i \cdot i}$ .

Let  $\mathcal{E}(I, \cdot)$  be Ringel's twisted generic composition algebra of type  $(I, \cdot)$ ; see [R2, R4] for details. The famous Ringel–Green isomorphism

$$\mathcal{E}(I, \cdot) \cong \mathbf{f} \cong U^+$$

permits us to define  $(-, -)$  and  $s$  on  $\mathcal{E}(I, \cdot)$  and  $U^+$  via  $\mathbf{f}$ .

Summarize the discussions above as follows

**THEOREM 4.11 (Ringel–Green).** *Let  $(I, \cdot)$  be a Cartan datum. Then*

(1)  $U^+, \mathbf{f}, \mathcal{E}(I, \cdot) \in \mathcal{L}(\mathbb{Q}(v), v, I, \cdot)$ , and there is a unique  $(\mathbb{Q}(v), v, \cdot)$ -Hopf algebra isomorphism

$$U^+ \cong \mathbf{f} \cong \mathcal{E}(I, \cdot)$$

sending generators to generators.

(2) Let  $H$  denote any one of  $U^+$ ,  $\mathbf{f}$ , and  $\mathcal{E}(I, \cdot)$ , and let  $s$  denote the corresponding antipode. Then  $s: H \rightarrow H_\chi$  gives an  $\mathbb{N}_0 I$ -graded,  $\mathbb{Q}(v)$ -algebra anti-isomorphism, where  $\chi = \cdot$ , and the multiplication  $m_\chi$  of  $H_\chi$  is given by Ringel's rule (2.1) in 2.3.

## 5. EXAMPLES

For the general representation theory of hereditary algebras we refer the reader to [ARS, R1].

Let  $k$  be a finite field with  $|k| = q$ . To be simple, set  $v = \sqrt{q}$ . For the calculations below, we need the following facts (see, e.g., [Z1, Z2]).

LEMMA 5.1. *Let  $\Lambda$  be a finite dimensional hereditary  $k$ -algebra. Then*

(1) *If  $L = M \oplus N$  with  $M$  and  $N$  having no direct summands in common, then*

$$a_L = a_M a_N q^{\dim_k \operatorname{Hom}(M, N) + \dim_k \operatorname{Hom}(N, M)}.$$

(2) *Assume that  $\operatorname{Hom}_k(N, M) = 0$ . If  $g_{M, N}^L \neq 0$ , then  $g_{M, N}^L = 1$ .*

(3) *We have*

$$g_{M, N}^{M \oplus N} = \frac{a_{M \oplus N}}{a_M a_N q^{\dim_k \operatorname{Hom}_\Lambda(M, N)}}.$$

*In particular, if  $M$  and  $N$  have no direct summands in common, then*

$$g_{M, N}^{M \oplus N} = q^{\dim_k \operatorname{Hom}_\Lambda(N, M_1)}.$$

5.2. Let  $Q$  be the quiver with two vertices denoted by 1 and 2, and  $n$  arrows from 1 to 2, and let  $\Lambda = kQ$ , the path algebra of  $Q$ . Then  $\langle S(1), S(2) \rangle = 0$ ,  $\langle S(2), S(1) \rangle = -n$ . We have  $(q^n - 1)/(q - 1)$  indecomposable modules with dimension vector  $(1, 1)$ , say,  $N_1, \dots, N_{(q^n - 1)/(q - 1)}$ . Then  $a_{N_i} = q - 1$ . Set  $r_1 = \sum_{1 \leq i \leq (q^n - 1)/(q - 1)} [N_i]$ . Then we have

$$\begin{aligned} [S(1)][S(2)] &= [S(1) \oplus S(2)], \\ [S(2)][S(1)] &= v^{-n}[S(1) \oplus S(2)] + v^{-n}r_1. \end{aligned}$$

By the formula (3.4) in Section 3 we have

$$\begin{aligned} \delta([S(1) \oplus S(2)]) &= [S(1) \oplus S(2)] \otimes 1 + 1 \otimes [S(1) \oplus S(2)] \\ &\quad + [S(1)] \otimes [S(2)] + v^{-n}[S(2)] \otimes [S(1)], \\ \delta([N_i]) &= [N_i] \otimes 1 + 1 \otimes [N_i] + v^{-n}(q - 1)[S(2)] \otimes [S(1)], \\ \delta(r_1) &= r_1 \otimes 1 + 1 \otimes r_1 + v^{-n}(q^n - 1)[S(2)] \otimes [S(1)]. \end{aligned}$$

By Lemma 3.3 we have

$$\begin{aligned} s([S(1) \oplus S(2)]) &= -[S(1) \oplus S(2)] \\ &\quad + (v^{-2n} + 1)[S(1) \oplus S(2)] + v^{-2n}r_1 \\ &= v^{-2n}[S(1) \oplus S(2)] + v^{-2n}r_1, \end{aligned}$$

$$s([N_i]) = -[N_i] + v^{-2n}(q-1)[S(1) \oplus S(2)] + v^{-2n}(q-1)r_1.$$

Thus,

$$s(r_1) = -v^{-2n}r_1 + v^{-2n}(q^n - 1)[S(1) \oplus S(2)].$$

This verifies

$$\begin{aligned} s([S(1)][S(2)]) &= s([S(1) \oplus S(2)]) \\ &= v^{-2n}[S(1) \oplus S(2)] + v^{-2n}r_1 \\ &= [S(2)] * [S(1)], \end{aligned}$$

$$\begin{aligned} s([S(2)][S(1)]) &= v^{-n}(s([S(1) \oplus S(2)]) + s(r_1)) = v^{-n}[S(1) \oplus S(2)] \\ &= v^{-n}[S(1)][S(2)] = [S(1)] * [S(2)], \end{aligned}$$

and

$$(s([S(1) \oplus S(2)]), [N_i]) = ([S(1) \oplus S(2)], s([N_i])) = \frac{q^{2-n}}{q-1}.$$

It follows that

$$(s([S(1) \oplus S(2)]), r_1) = ([S(1) \oplus S(2)], s(r_1)) = \frac{q^n - 1}{(q-1)^2 q^{n-2}}.$$

Also,  $[N_i] - [N_{i-1}]$ ,  $2 \leq i \leq (q^n - 1)/(q - 1)$ , is a basis of the subspace of  $\mathcal{H}(\Lambda)_{(1,1)}$  consisting of  $\delta$ -primitive elements.

5.3. Let  $\Lambda$  be a finite dimensional hereditary algebra of tame type, and let  $E$  be a quasi-simple (regular) module. Then we have

$$s([E]) + [E] \in \mathcal{C}(\Lambda).$$

In fact, let  $0 \rightarrow M \rightarrow E \rightarrow N \rightarrow 0$  be an exact sequence with  $M \neq 0 \neq N$ . Since  $E$  is quasi-simple, it follows that  $M$  must be preprojective and  $N$  must be preinjective, and hence

$$\delta([E]) = 1 \otimes [E] + [E] \otimes 1 + \sum c_{P,I}([I] \otimes [P]),$$

where  $P$  are preprojective,  $I$  is preinjective, and  $c_{P,I} \in \mathbb{Q}(v)$ . Thus, by  $m(\text{id} \otimes s)\delta = e\varepsilon$ , we have  $s([E]) + [E] = -\sum c_{P,I}([I]s([P])) \in \mathcal{E}(\Lambda)$ , where we have used the fact that  $[I], s([P]) \in \mathcal{E}(\Lambda)$ ; see [Z2, Z3].

## APPENDIX

Let  $A = (A, m, e, \delta, \varepsilon)$  be a  $(K, c, I, \chi)$ -bialgebra, with  $\chi$  not necessarily symmetric. Define for each  $x \in \mathbb{Z}I$  a symbol  $K_x$ , and let  $T$  be the  $K$ -vector space with basis  $\{K_x | x \in \mathbb{Z}I\}$ . Make  $T$  into a  $K$ -algebra by defining

$$K_x K_y = K_{x+y}; \quad (\text{A1})$$

i.e.,  $T$  is the group algebra over  $K$  of the additive group  $\mathbb{Z}I$ .

Let  $TA$  be the  $K$ -algebra generated by the algebra  $A$  and the symbols  $K_x$ , with defining relations (A1) and

$$aK_x = c^{-\chi(x, |a|)} K_x a \quad (\text{A2})$$

for all  $x \in \mathbb{Z}I$ ,  $a \in A$  ( $a$  homogeneous) (cf. [L, p. 19, 3.1.1(b); X, p. 122, 4.5(c)]. Then  $TA$  is  $\mathbb{N}_0 I$ -graded with  $(TA)_x = TA_x$ .

Next we define a comultiplication  $\Delta: TA \rightarrow TA \otimes TA$  in such a way that  $\Delta$  is a  $K$ -algebra map, where  $TA \otimes TA$  is the  $K$ -algebra by the usual rule, i.e.,  $(u_1 \otimes u_2)(v_1 \otimes v_2) = u_1 v_1 \otimes u_2 v_2$ , for all  $u_1, u_2, v_1, v_2 \in TA$ . For this purpose we set

$$\Delta(K_x) = K_x \otimes K_x, \quad \text{for all } x \in \mathbb{Z}I, \quad (\text{A3})$$

and

$$\Delta(a) = \sum a_1 K_{|a_2|} \otimes a_2 \quad (\text{A4})$$

for all  $a \in A$ , with  $\delta(a) = \sum a_1 \otimes a_2$  ( $a_1, a_2$  homogeneous). To prove that these definitions are well defined, we must show that they respect the relations which define  $TA$ . Thus we must check that

$$\Delta(K_x)\Delta(K_y) = \Delta(K_{x+y}) \quad \text{for all } x, y \in \mathbb{Z}I, \quad (\text{A5})$$

$$\Delta(a)\Delta(b) = \Delta(ab) \quad \text{for all } a, b \in A, \quad (\text{A6})$$

and

$$\Delta(a)\Delta(K_x) = c^{-\chi(x, |a|)} \Delta(K_x)\Delta(a), \quad (\text{A7})$$

for all  $x \in \mathbb{Z}I$  and all homogeneous  $a \in A$ .

Equations (A5) and (A7) are straightforward. To check (A6), we remember that

$$\delta(ab) = \delta(a) * \delta(b) = \sum c^{(|a_2|, |b_1|)} a_1 b_1 \otimes a_2 b_2.$$

Therefore by (A4) and (A2) we have

$$\begin{aligned} \Delta(ab) &= \sum c^{(|a_2|, |b_1|)} a_1 b_1 K_{|a_2|} K_{|b_2|} \otimes a_2 b_2 \\ &= \sum a_1 K_{|a_2|} b_1 K_{|b_2|} \otimes a_2 b_2 \\ &= \left( \sum a_1 K_{|a_2|} \otimes a_2 \right) \left( \sum b_1 K_{|b_2|} \otimes b_2 \right) \\ &= \Delta(a) \Delta(b). \end{aligned}$$

To prove  $\Delta$  is coassociative, it is enough to show that

$$(\text{id} \otimes \Delta) \Delta(u) = (\Delta \otimes \text{id}) \Delta(u) \quad (\text{A8})$$

holds for all  $u = K_x$  and all  $u = a \in A$ . The verifications are straightforward.

Finally we extend the counit  $\varepsilon: A \rightarrow K$  to a  $K$ -algebra map  $\varepsilon: TA \rightarrow K$  by setting  $\varepsilon(K_x a) = \varepsilon(a)$  for all  $x \in \mathbb{Z}I$ ,  $a \in A$ . One verifies that  $\varepsilon$ , so defined, is multiplicative (use (A1) and (A2)), and that it is a counit for  $\Delta$ . We have now proved part (i) of the following

**THEOREM A.** *Let  $A = (A, m, e, \delta, \varepsilon)$  be a  $(K, c, I, \chi)$ -bialgebra,  $\chi$  not necessarily symmetric. Define  $TA$  as an  $\mathbb{N}_0 I$ -graded space, with multiplication, comultiplication, and counit as above. Then*

(i)  *$TA$  is a  $K$ -bialgebra in the usual sense, and*

(ii)  *$TA$  is a  $K$ -Hopf algebra in the usual sense, with antipode  $s': TA \rightarrow TA$  given by*

$$s'(K_x) = K_{-x}, \quad \text{for all } x \in \mathbb{Z}I, \quad (\text{A9})$$

and

$$s'(a) = K_{-|a|} s(a), \quad \text{for all homogeneous } a \in A, \quad (\text{A10})$$

where  $s$  is the antipode of  $A$ .

*Remark.* (a) Notice that  $TA$  is an  $\mathbb{N}_0 I$ -graded  $K$ -Hopf algebra, but with  $(TA)_0 = T$ ; therefore  $TA$  is not a  $(K, c, I, 0)$ -Hopf algebra (this would require  $(TA)_0 = K$ ).

(b) Since the antipode  $s$  of  $A$  is invertible,  $s'$  is also invertible with  $s'^{-1}(K_x) = K_{-x}$  and  $s'^{-1}(a) = K_{|a|} s^{-1}(a)$ .

*Proof of Theorem A, part (ii).* We first show that (A9) and (A10) can be uniquely extended to an anti-homomorphism  $s': TA \rightarrow TA$ . For this, we must verify

$$s'(K_x)s'(K_y) = s'(K_{x+y}) \quad \text{for all } x, y \in \mathbb{Z}I, \quad (\text{A11})$$

$$s'(a)s'(b) = s'(ba) \quad \text{for all } a, b \in A, \quad (\text{A12})$$

and

$$s'(K_x)s'(a) = c^{-\chi(x, |a|)}s'(a)s'(K_x) \quad (\text{A13})$$

for all  $x \in \mathbb{Z}I$  and all homogeneous  $a \in A$ . Both (A11) and (A13) can be easily checked. For (A12), we use Theorem 2.10(iii), which tells us that  $s(ba) = s(a) * s(b)$ , where  $*$  is the product in  $A_{\chi^T}$ . So  $s(ba) = c^{\chi(|b|, |a|)}s(a)s(b)$ . Therefore,

$$\begin{aligned} s'(a)s'(b) &= K_{-|a|}s(a)K_{-|b|}s(b) = c^{-\chi(-|b|, |a|)}K_{-|a|-|b|}s(a)s(b) \\ &= K_{-|ba|}s(ba) = s'(ba). \end{aligned}$$

It remains to show that  $M(\text{id} \otimes s')\Delta(u) = e\varepsilon(u) = M(s' \otimes \text{id})\Delta(u)$ , for all  $u = K_x$  ( $x \in \mathbb{Z}I$ ) and all  $u = a \in A$  ( $a$  homogeneous), where  $M$  denotes the multiplication map of  $TA$ . For  $u = K_x$  this is trivial. If  $u = a$  we have

$$\begin{aligned} M(\text{id} \otimes s')\Delta(a) &= \sum a_1 K_{|a_2|} s'(a_2) = \sum a_1 K_{|a_2|} K_{-|a_2|} s(a_2) = \sum a_1 s(a_2) \\ &= \varepsilon(a). \end{aligned}$$

On the other hand we get

$$\begin{aligned} M(s' \otimes \text{id})\Delta(a) &= \sum s'(a_1 K_{|a_2|}) a_2 = \sum s'(K_{|a_2|}) s(a_1) a_2 \\ &= \sum K_{-|a_2|} K_{-|a_1|} s(a_1) a_2 = K_{-|a|} \sum s(a_1) a_2 = K_{-|a|} \varepsilon(a). \end{aligned}$$

If  $a \in A_x$ ,  $x \neq 0$ , this is zero, which equals  $\varepsilon(a)$ . If  $a \in A_0$ , it is  $K_0 \varepsilon(a) = \varepsilon(a)$ . ■

## ACKNOWLEDGMENTS

We are deeply grateful to the referee for valuable suggestions, in particular, the Appendix presented above. The paper was finally written while the second author visited Universität Bielfeld, supported by a grant from Volkswagen-Stiftung. He thanks Professor C. M. Ringel for his hospitality and the Fakultät für Mathematik for the working facilities.

## REFERENCES

- [ARS] M. Auslander, I. Reiten, and S. Smalø, "Representation Theory of Artin Algebras," Cambridge Studies in Advanced Math., Vol. 36, Cambridge Univ. Press, Cambridge, UK, 1994.
- [D] V. G. Drinfeld, Hopf algebras and quantum Yang–Baxter equation, *Soviet. Math. Dokl.* **32** (1985), 254–258.
- [G1] J. A. Green, Hall algebras, hereditary algebras and quantum groups, *Invent. Math.* **120** (1995), 361–377.
- [G2] J. A. Green, Quantum groups, Hall algebras, and quantized shuffles, in "Finite Reductive Groups, Related Structures and Representations" (M. Cabanes, Ed.), Birkhäuser, Boston, 1996.
- [Jan] J. C. Jantzen, "Lectures on Quantum Groups," Graduate Studies in Math., Vol. 6, Am. Math. Soc., Providence, 1995.
- [Jim] M. Jimbo, A  $q$ -difference analogue of  $U(\mathfrak{g})$  and the Yang–Baxter equation, *Lett. Math. Phys.* **10** (1985), 63–69.
- [Jos] A. Joseph, "Quantum Groups and Their Primitive Ideals," *Ergebnisse der Mathematik und ihrer Grenzgebiete*, Vol. 29, Springer-Verlag, Berlin/New York, 1995.
- [K] V. G. Kac, "Infinite Dimensional Lie Algebras," *Progress on Math.*, Vol. 44, Birkhäuser, Boston/Basel/Stuttgart, 1983.
- [L] G. Lusztig, "Introduction to Quantum Groups," *Progress on Math.*, Vol. 110, Birkhäuser, Boston/Basel/Berlin, 1993.
- [M] I. G. Macdonald, "Symmetric Functions and Hall Polynomials," 2nd ed., Oxford Univ. Press, London, 1995.
- [R1] C. M. Ringel, "Tame Algebras and Integral Quadratic Forms," *Lecture Notes in Math.*, Vol. 1099, Springer-Verlag, New York/Berlin 1984.
- [R2] C. M. Ringel, Hall algebras, *Banach Center Publ.* **26** (1990), 433–447.
- [R3] C. M. Ringel, Hall algebras and quantum groups, *Invent. Math.* **101** (1990), 583–592.
- [R4] C. M. Ringel, Hall algebras revisited, *Israel Math. Conf. Proc.* **7** (1993), 171–176.
- [R5] C. M. Ringel, PBW-bases of quantum groups, *J. Reine Angew. Math.* **470** (1996), 51–88.
- [R6] C. M. Ringel, Green's theorem on Hall algebras, *Canad. Math. Soc. Conf. Proc.* **19** (1996), 185–245.
- [Ro] M. Rosso, Quantum groups and quantum shuffles, *Invent. Math.* **133** (1998), 399–416.
- [SV] B. Sevenhant and M. Van den Bergh, A relation between a conjecture of Kac and the structure of the Hall algebra, preprint.
- [S] M. Sweedler, "Hopf Algebra," Benjamin, New York, 1969.
- [X] J. Xiao, Drinfeld double and Ringel–Green theory, *J. Algebra* **190** (1997), 100–144.
- [Z] A. Zelevinski, "Representations of Finite Classical Groups," *Lecture Notes in Math.*, Vol. 869, Springer-Verlag, New York/Berlin, 1981.
- [Z1] P. Zhang, Triangular decomposition of the composition algebra of the Kronecker algebra, *J. Algebra* **184** (1996), 159–174.
- [Z2] P. Zhang, Composition algebras of affine type, *J. Algebra* **206** (1998), 505–540.
- [Z3] P. Zhang, Representations as elements in affine composition algebras, *Trans. Amer. Math. Soc.*, to appear.